

# Lecture 5: Eddies and tropospheric climate

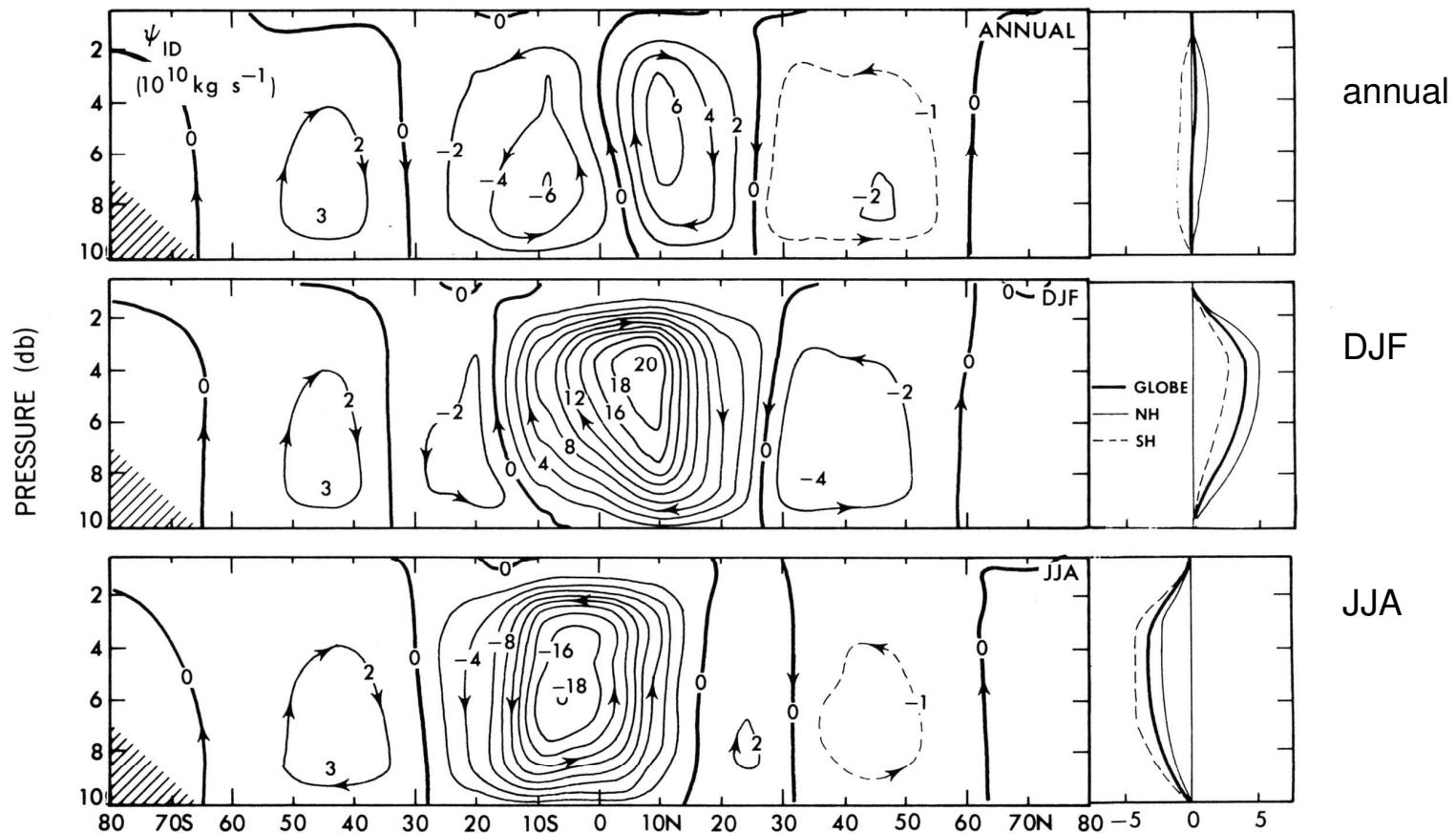
- (i) The observed circulation
- (ii) The troposphere without eddies
- (iii) Tropospheric eddies and waves
- (iv) Baroclinic instability and synoptic eddies
- (v) Synoptic eddy transports
- (vi) Variability: Annular modes

FDEPS 2010  
Alan Plumb, MIT  
Nov 2010

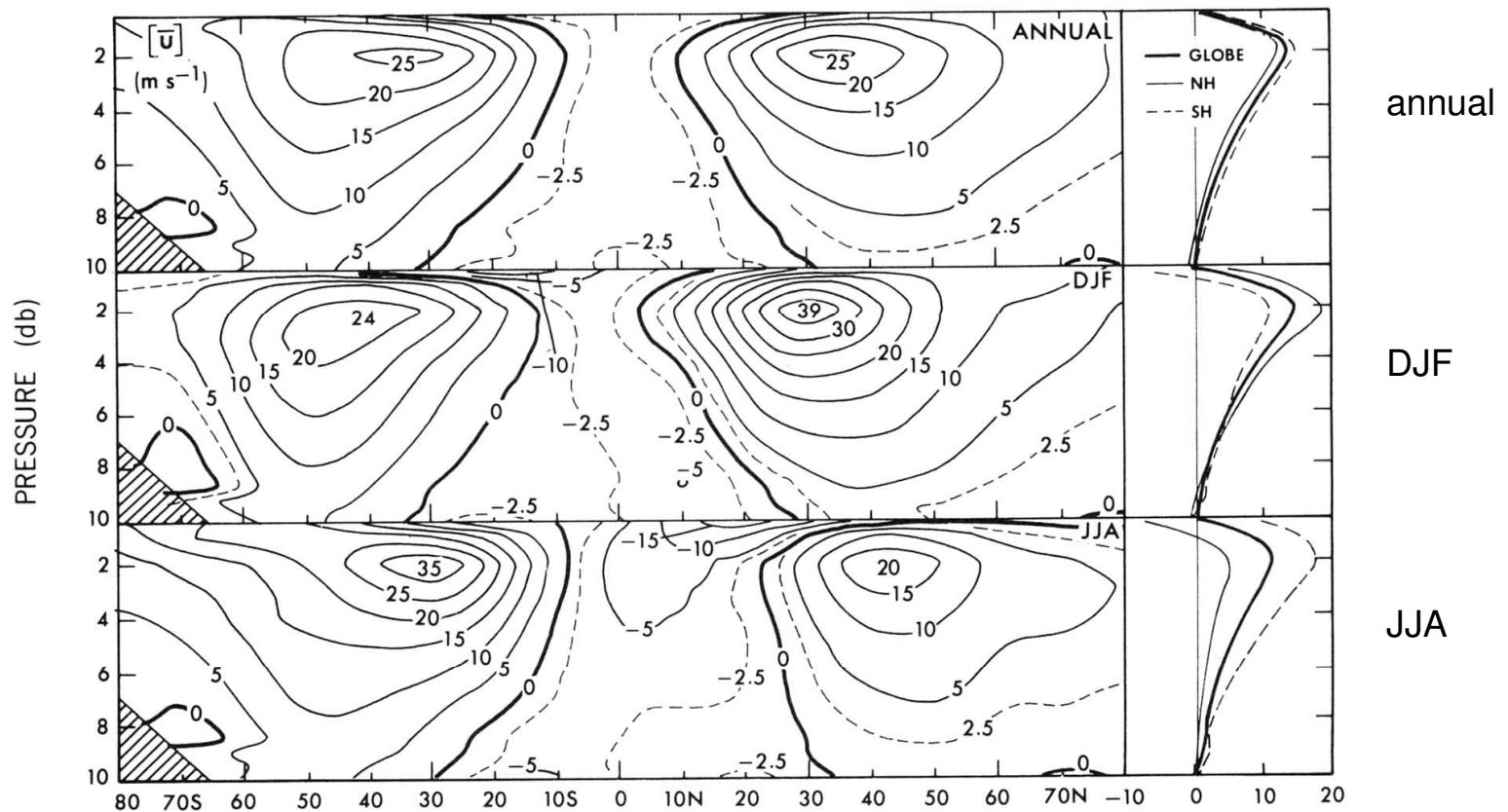
(i) The observed circulation

## observed mean meridional circulation

[Oort& Peixoto]



**FIGURE 7.19.** Zonal-mean cross sections of the mass stream function in  $10^{10} \text{ kg s}^{-1}$  for annual, DJF, and JJA mean conditions. Vertical profiles of the hemispheric and global mean values are shown on the right.

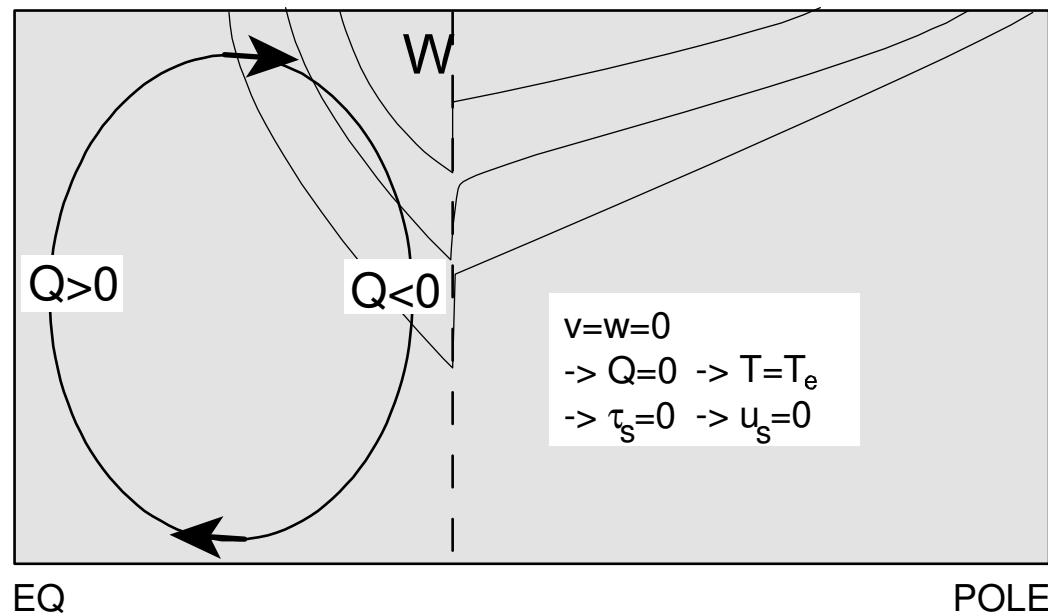


**FIGURE 7.15.** Zonal-mean cross sections of the zonal wind component in  $m s^{-1}$  for annual, DJF, and JJA mean conditions. Vertical profiles of the hemispheric and global mean values are shown on the right.

(ii) The troposphere without eddies

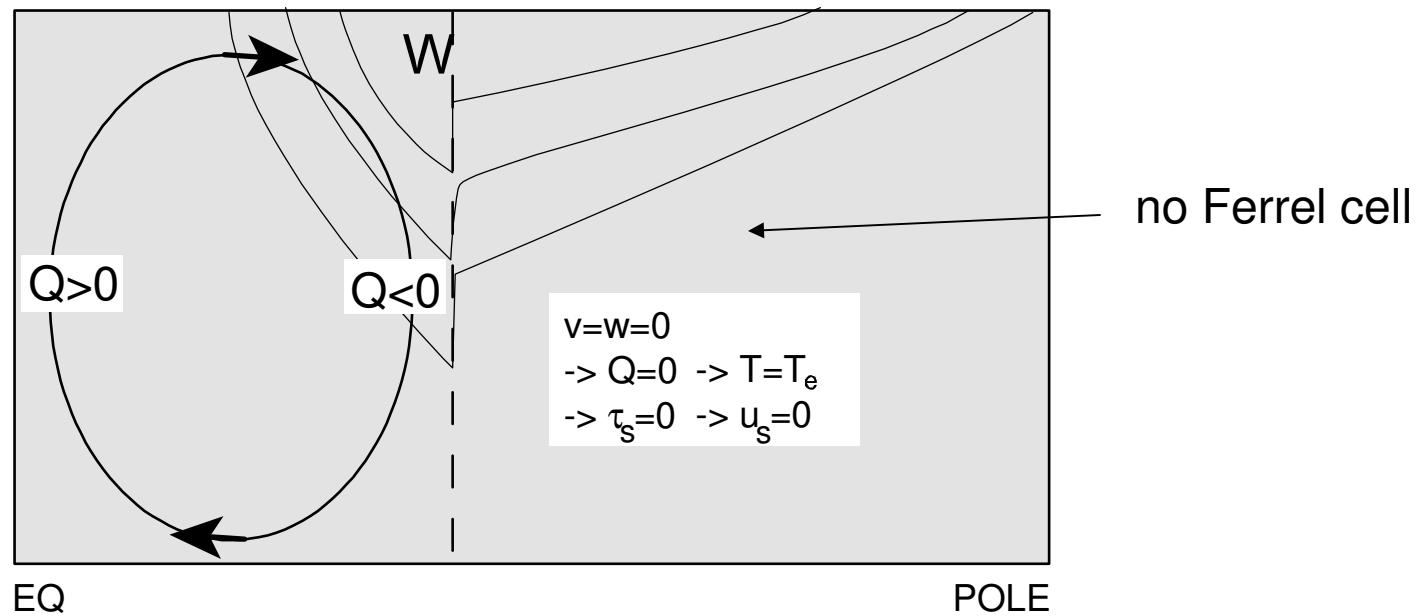
## The troposphere without eddies

[Held & Hou, *J Atmos Sci*, 1980]



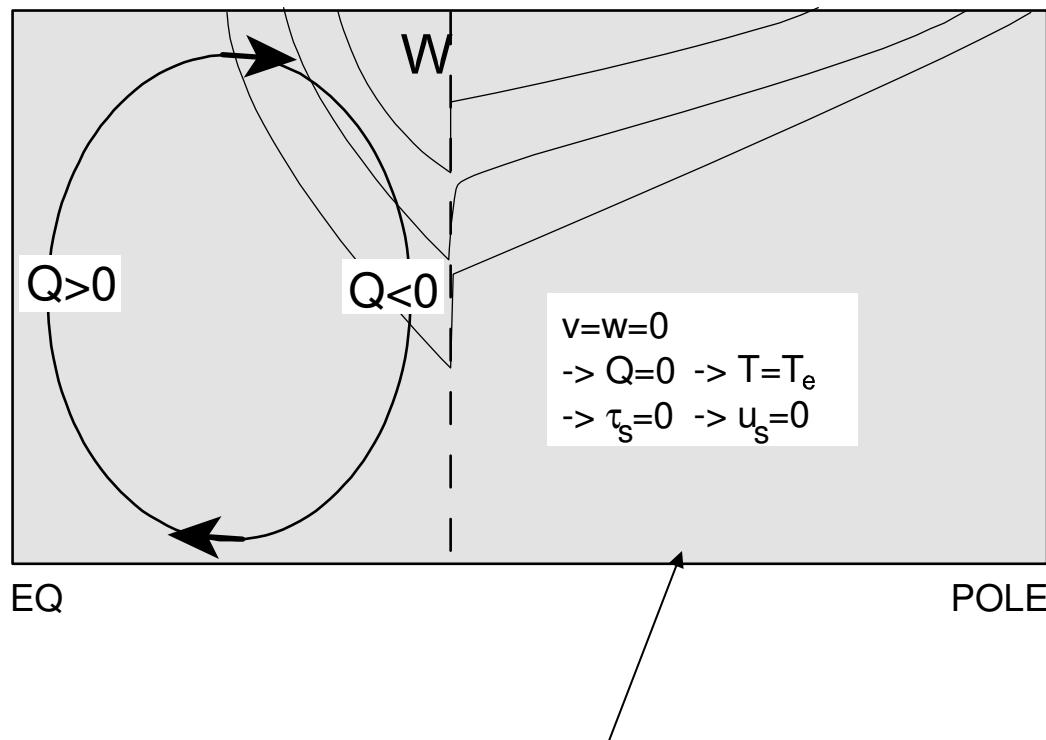
## The troposphere without eddies

[Held & Hou, *J Atmos Sci*, 1980]



## The troposphere without eddies

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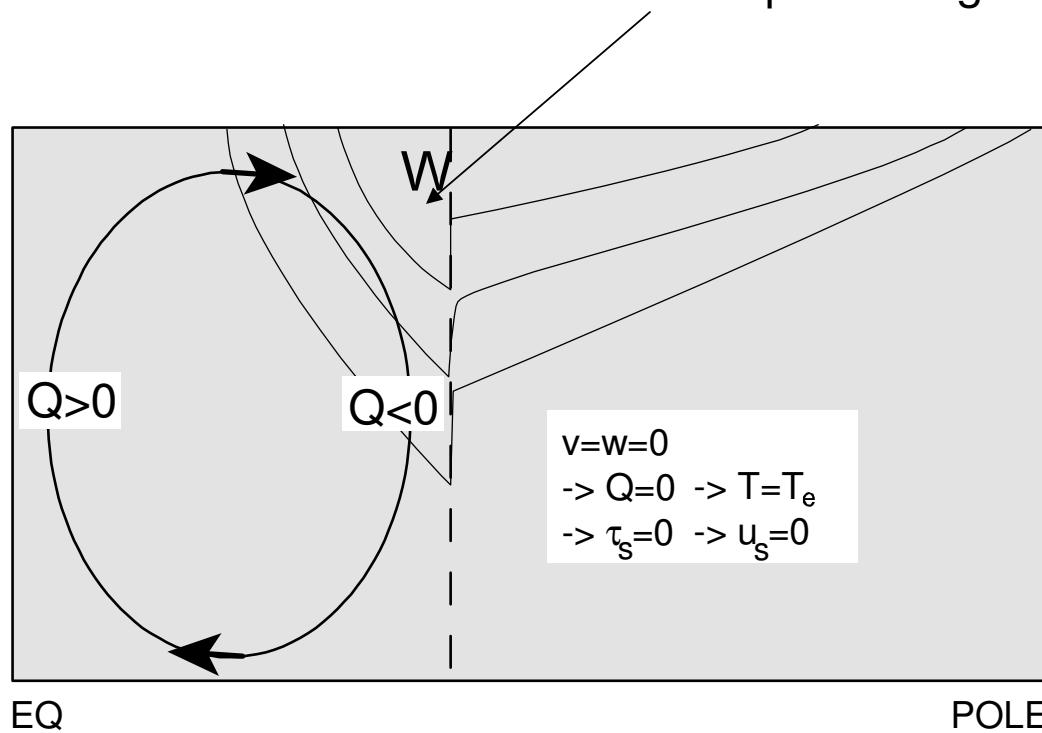
no Ferrel cell

no surface  
westerlies

## The troposphere without eddies

[Held & Hou, *J Atmos Sci*, 1980]

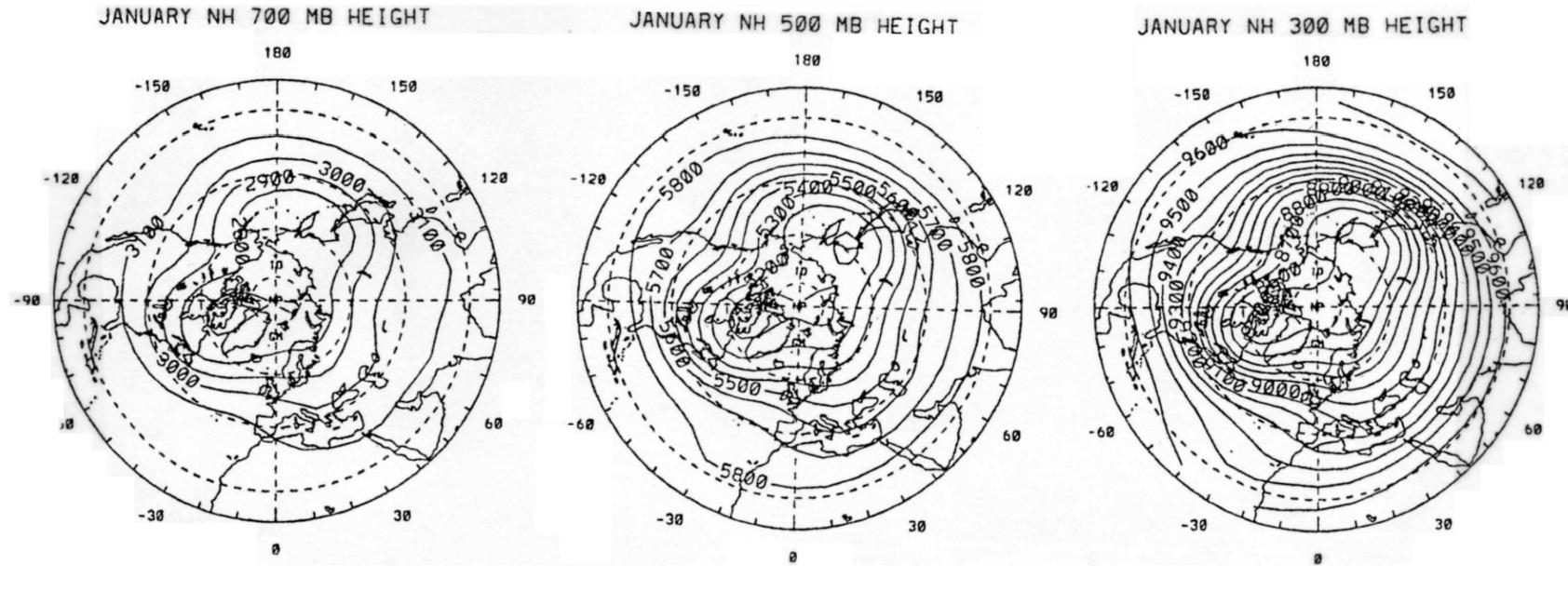
westerly jet much too strong :  
temperature gradient too large



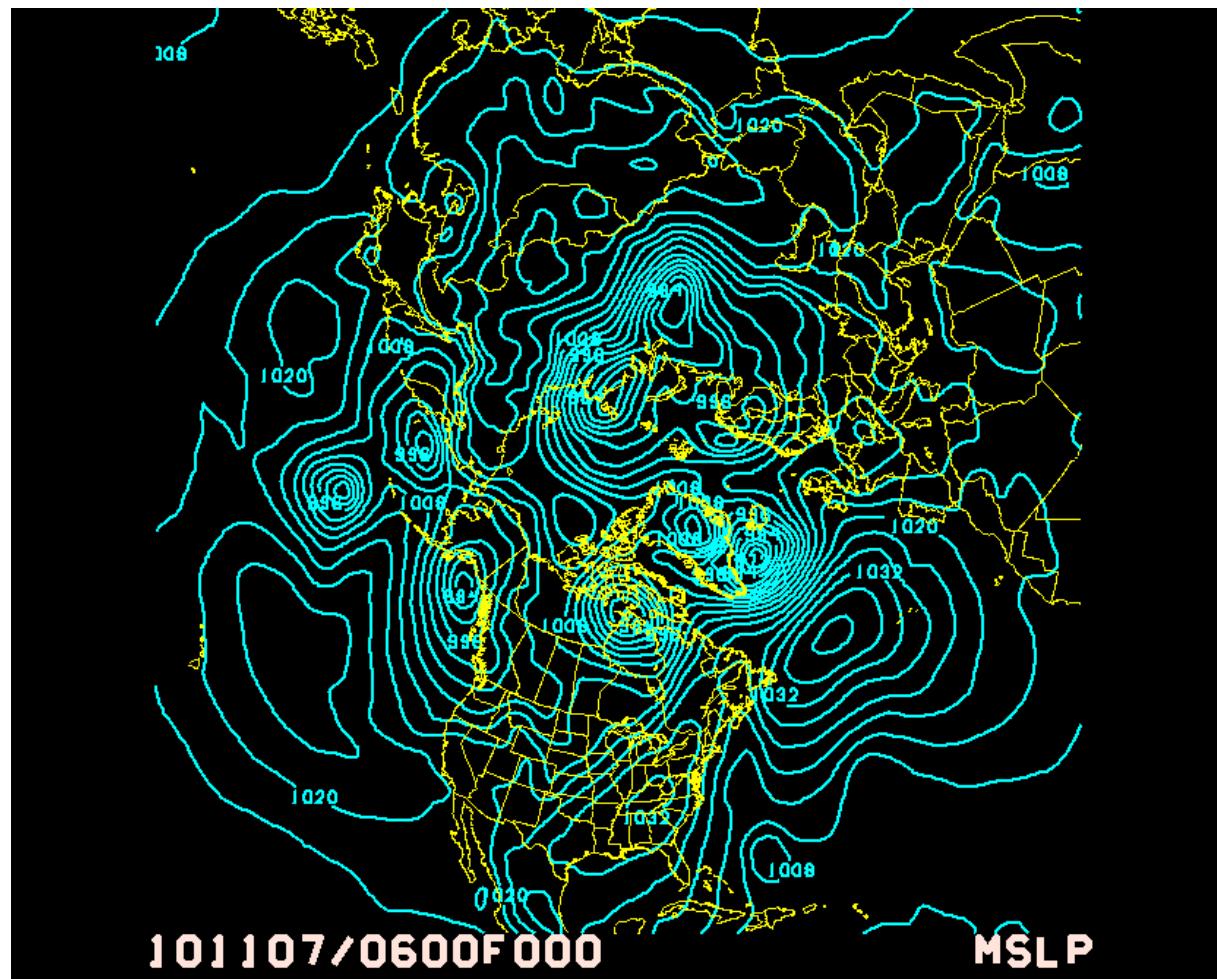
no surface  
westerlies

### (iii) Tropospheric eddies and waves

# Stationary Rossby waves



## Typical surface pressure analysis



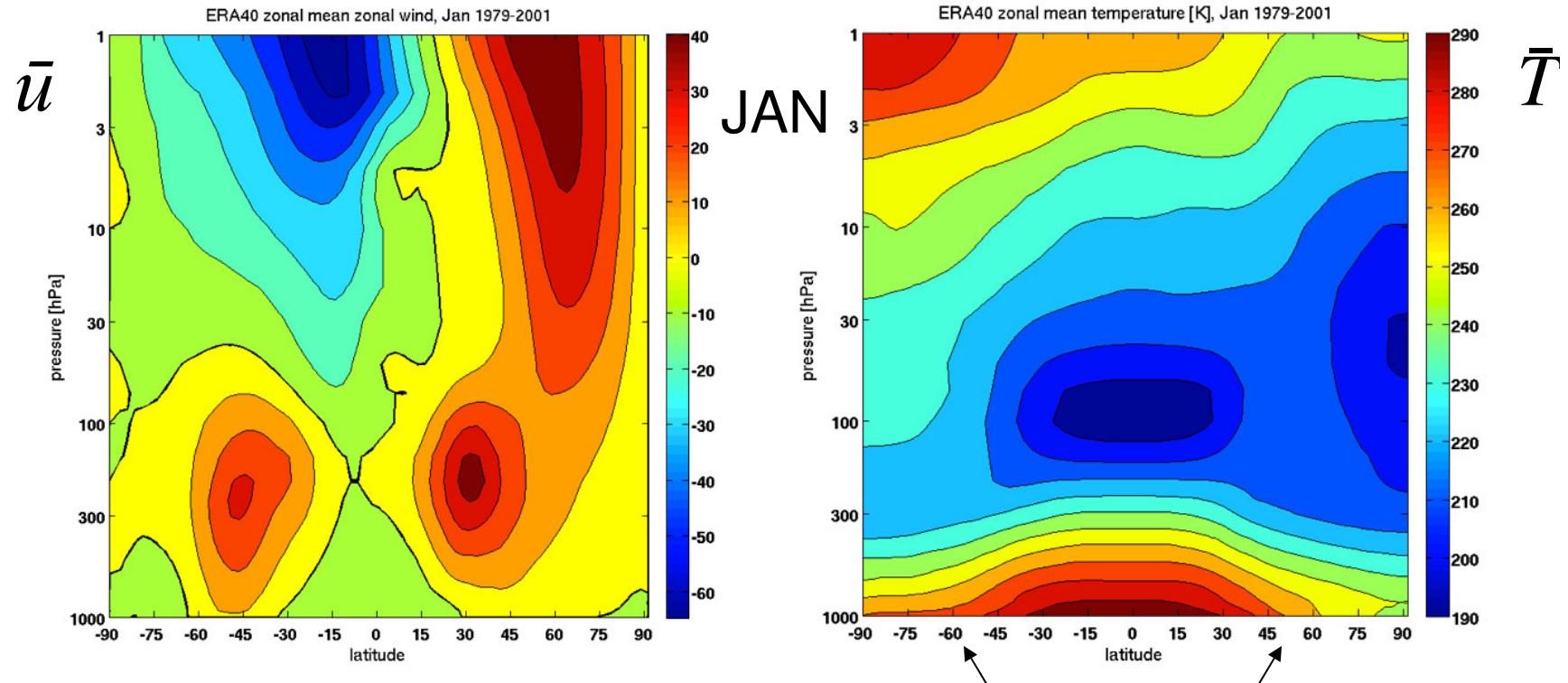
(iv) Baroclinic instability and synoptic eddies

## Baroclinic instability

A zonal flow is *stable* to inviscid, adiabatic, quasigeostrophic normal mode perturbations if

- a. there is no change of sign of PV gradient within the fluid and
- b. the system is bounded above and below by isentropic boundaries.

The *Charney-Stern theorem*. (does not apply to non-normal-mode growth).



PV gradient (not shown)  $\partial\bar{q}/\partial y > 0$  in interior

lower boundary is *not* isentropic

## Baroclinic instability:the Eady problem

Simplest example, and relevant to the troposphere

1. Boussinesq ( $\rho = \text{constant}$ )
2. Inviscid, adiabatic flow on an  $f$ -plane ( $\beta = 0$ )
3. Uniform buoyancy frequency:  $N^2$  constant
4. Rigid upper and lower boundaries at  $z = \pm \frac{1}{2}D$ , on which  $w = 0$ .
5. Balanced background zonal flow increasing linearly with height:  $u_0 = \Lambda z$

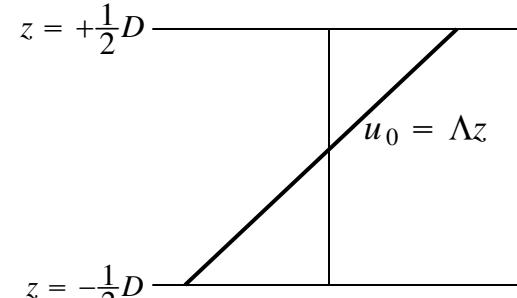
→ linear latitudinal temperature gradient:

$$\frac{\partial}{\partial y} \left( \frac{T_0}{T_*} \right) = \frac{f_0}{g} \frac{\partial u_0}{\partial z} = \frac{f_0 \Lambda}{g}$$

→ no basic state QGPV gradient:

$$\frac{\partial q_0}{\partial y} = -\frac{\partial^2 u_0}{\partial y^2} - \frac{f_0^2}{N^2} \frac{\partial^2 u_0}{\partial z^2} = 0$$

$$\left( \frac{\partial}{\partial t} + u_0 \frac{\partial}{\partial x} \right) q' + v' \frac{\partial q_0}{\partial y} = \left( \frac{\partial}{\partial t} + u_0 \frac{\partial}{\partial x} \right) q' = 0 \quad \rightarrow \quad q' = 0$$



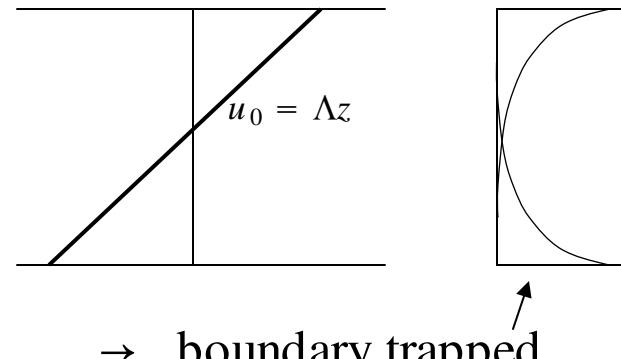
$$\rightarrow q' = \frac{\partial^2 \psi'}{\partial x^2} + \frac{\partial^2 \psi'}{\partial y^2} + \frac{f_0^2}{N^2} \frac{\partial^2 \psi'}{\partial z^2} = 0$$

Look for separable modal, wave-like solutions  $\psi' = \text{Re}[\Phi(z)e^{i(kx+ly-kct)}]$   
then

$$\frac{d^2\Phi}{dz^2} - \frac{N^2}{f_0^2} \kappa^2 \Phi = 0$$

where  $\kappa = \sqrt{k^2 + l^2}$ . Then  $\Phi \sim \exp(\pm N\kappa z/f_0)$ , or

$$\Phi(z) = A \cosh\left(\frac{N\kappa}{f_0}z\right) + B \sinh\left(\frac{N\kappa}{f_0}z\right)$$



→ boundary trapped

On upper and lower boundaries  $z = \pm D/2$ ,  $w' = 0$

$$\rightarrow \left( \frac{\partial}{\partial t} + u_0 \frac{\partial}{\partial x} \right) T' + \frac{\partial \psi'}{\partial x} \frac{\partial T_0}{\partial y} = 0$$

$$\rightarrow (U - c) \frac{d\Phi}{dz} - \Lambda \Phi = 0.$$

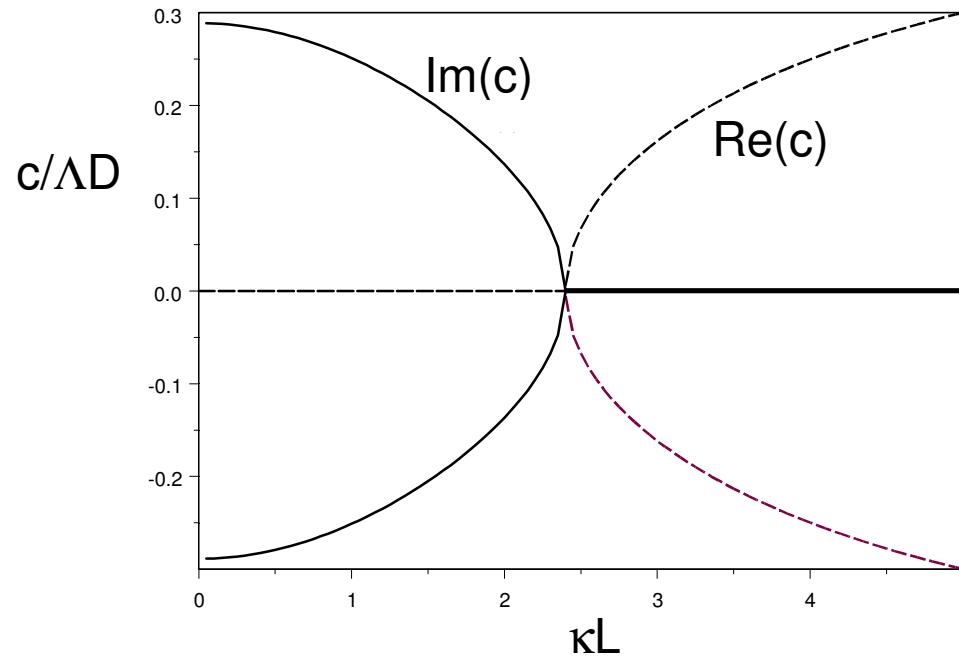
$L = ND/f_0$   
*internal radius of deformation*

After much manipulation, find

$$c = \pm \frac{\Lambda D}{\kappa L} \sqrt{\left[ \frac{\kappa L}{2} - \tanh\left(\frac{1}{2}\kappa L\right) \right] \left[ \frac{\kappa L}{2} - \coth\left(\frac{1}{2}\kappa L\right) \right]}$$

$$\frac{c}{\Lambda D} = \pm \frac{1}{\kappa L} \sqrt{\left[ \frac{\kappa L}{2} - \tanh\left(\frac{1}{2}\kappa L\right) \right] \left[ \frac{\kappa L}{2} - \coth\left(\frac{1}{2}\kappa L\right) \right]}$$

short waves,  $\kappa L < 2.3994$  :  $c^2 > 0$  : propagating boundary waves, no growth  
 long waves,  $\kappa L > 2.3994$  :  $c^2 < 0$  : nonpropagating, exponential growth



$$\frac{c}{\Lambda D} = \pm \frac{1}{\kappa L} \sqrt{\left[ \frac{\kappa L}{2} - \tanh\left(\frac{1}{2}\kappa L\right) \right] \left[ \frac{\kappa L}{2} - \coth\left(\frac{1}{2}\kappa L\right) \right]}$$

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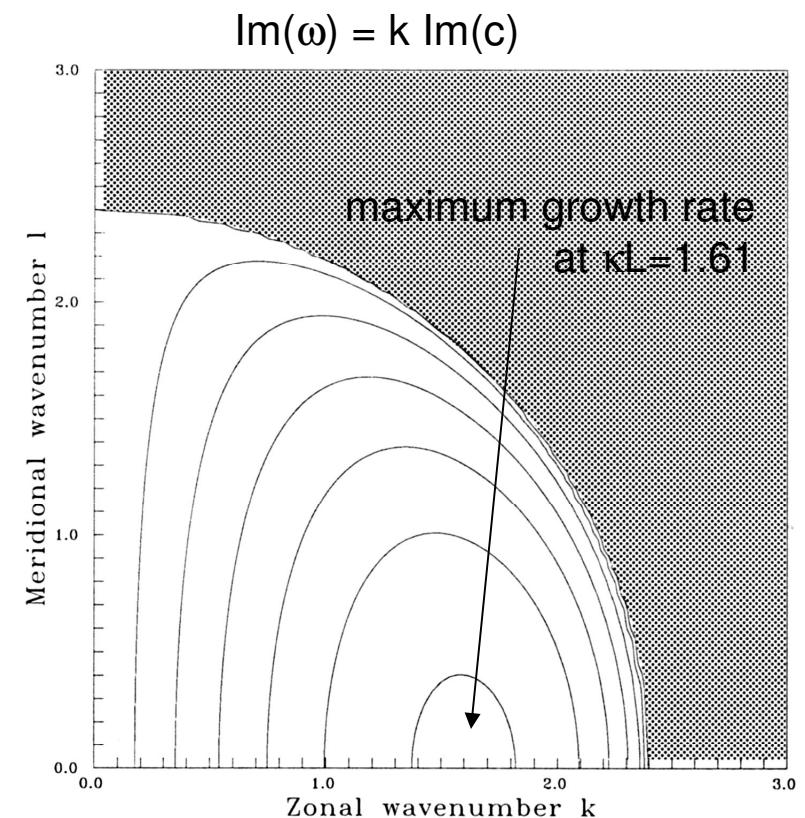
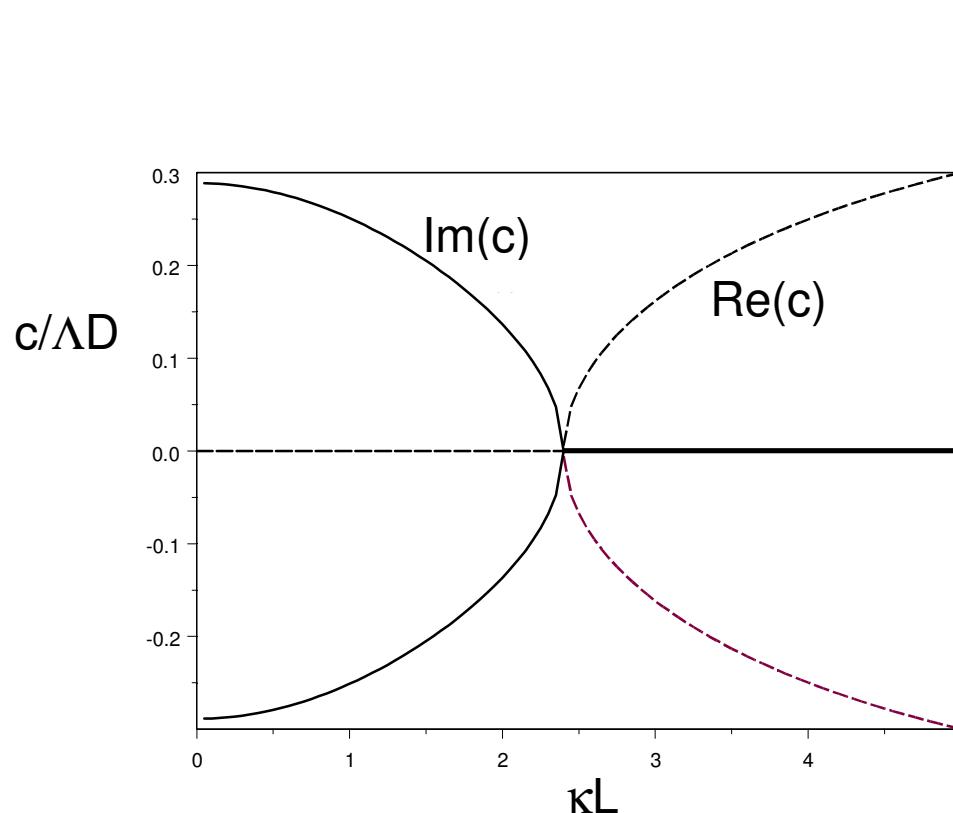
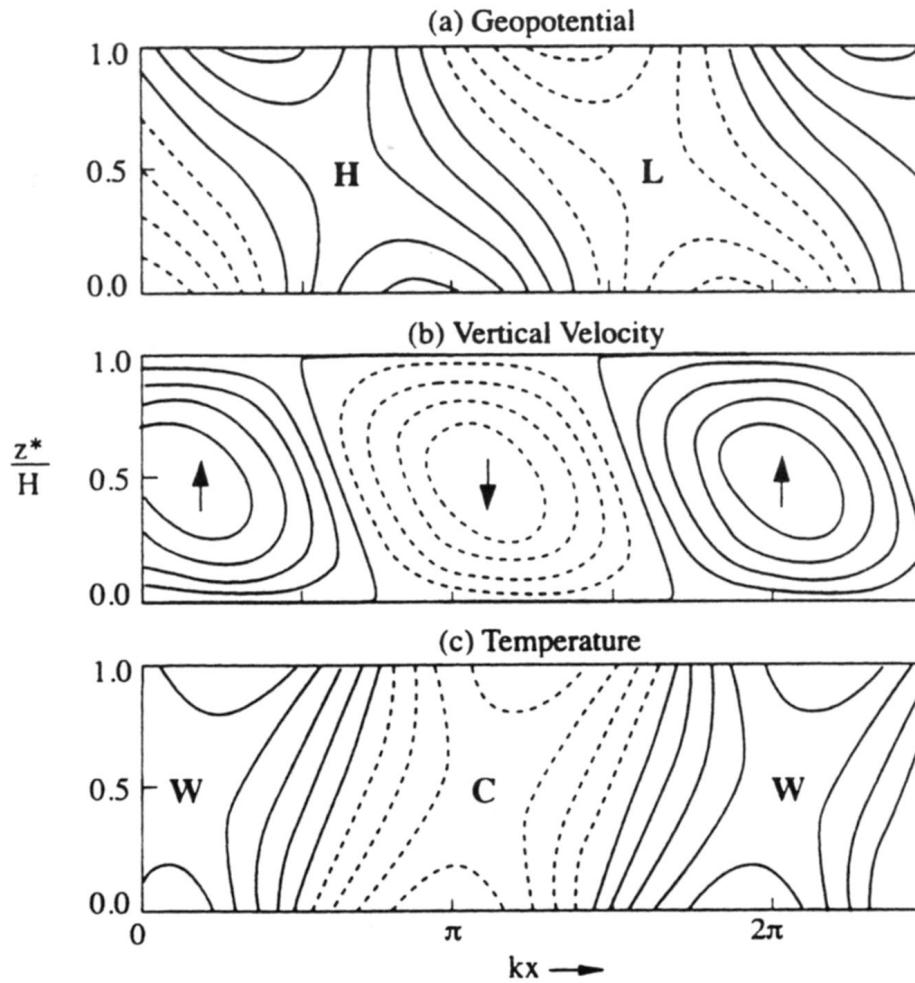


Fig. 5.17. Growth rate of waves with zonal wavenumber  $k$  and meridional wavenumber  $l$  according to the Eady model of baroclinic instability. Contour interval  $0.05 K_R \Delta U$ . [James]

## Structure of fastest growing wave:



Note.:

$$\overline{w' T'} > 0$$

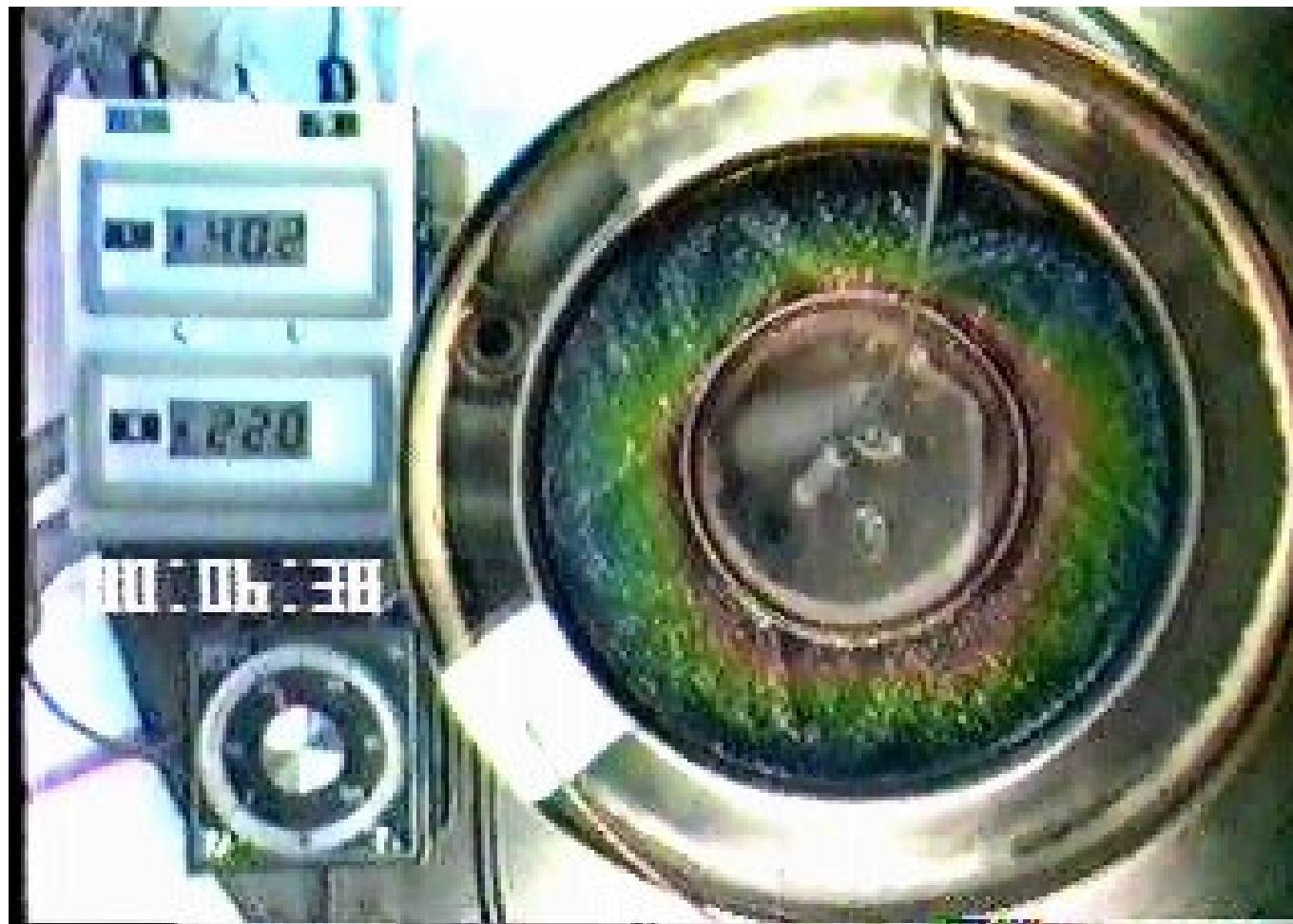
$\overline{v' T'}$  is poleward

**Fig. 8.10** Properties of the most unstable Eady wave. (a) Contours of perturbation geopotential height; *H* and *L* designate ridge and trough axes, respectively. (b) Contours of vertical velocity; up and down arrows designate axes of maximum upward and downward motion, respectively. (c) Contours of perturbation temperature; *W* and *C* designate axes of warmest and coldest temperatures, respectively. In all panels 1 and 1/4 wavelengths are shown for clarity.

[Holton]

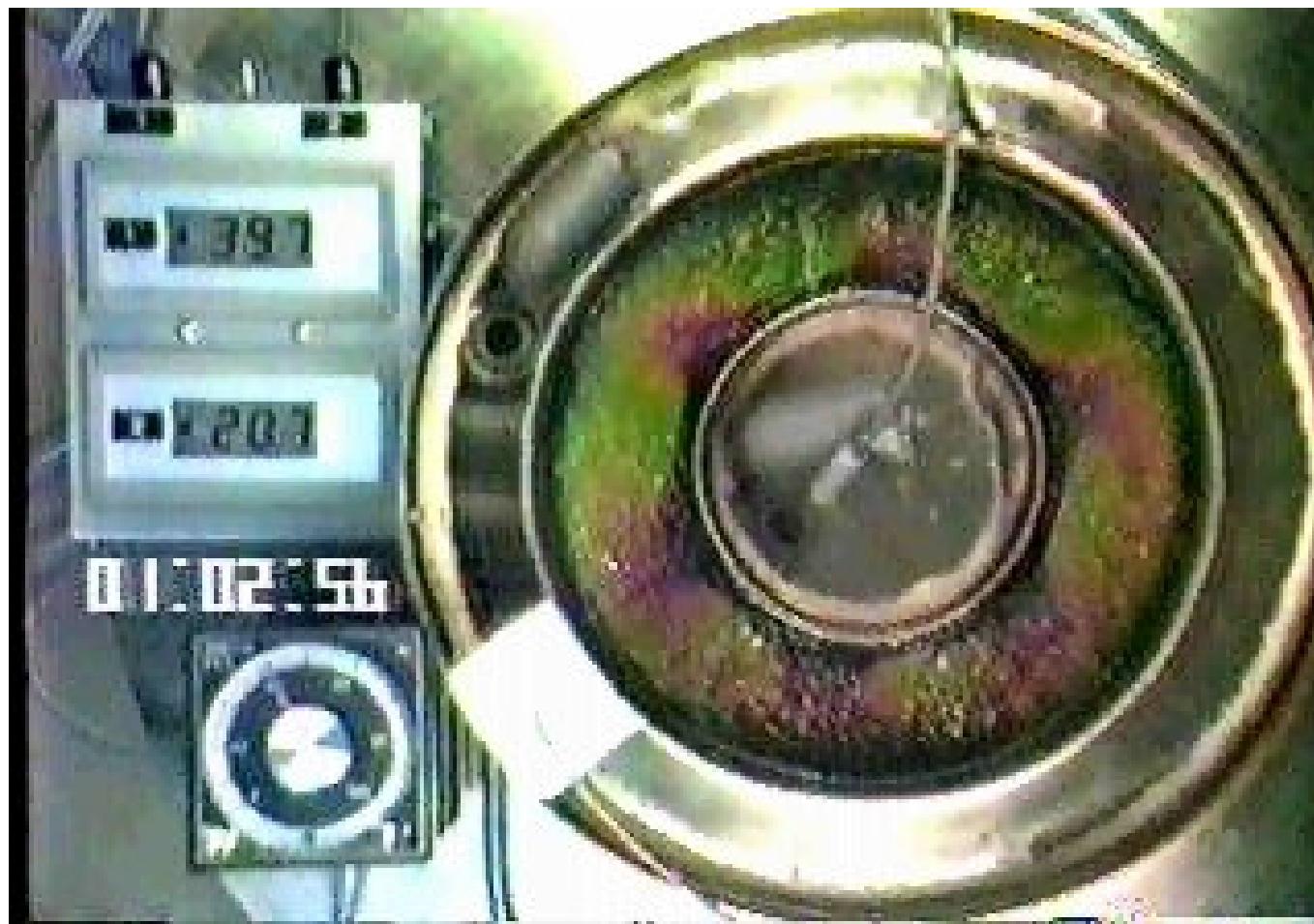
Atmosphere and Ocean  
in a Laboratory

実験室の中の空と海



Atmosphere and Ocean  
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## Baroclinic instability in the atmosphere:

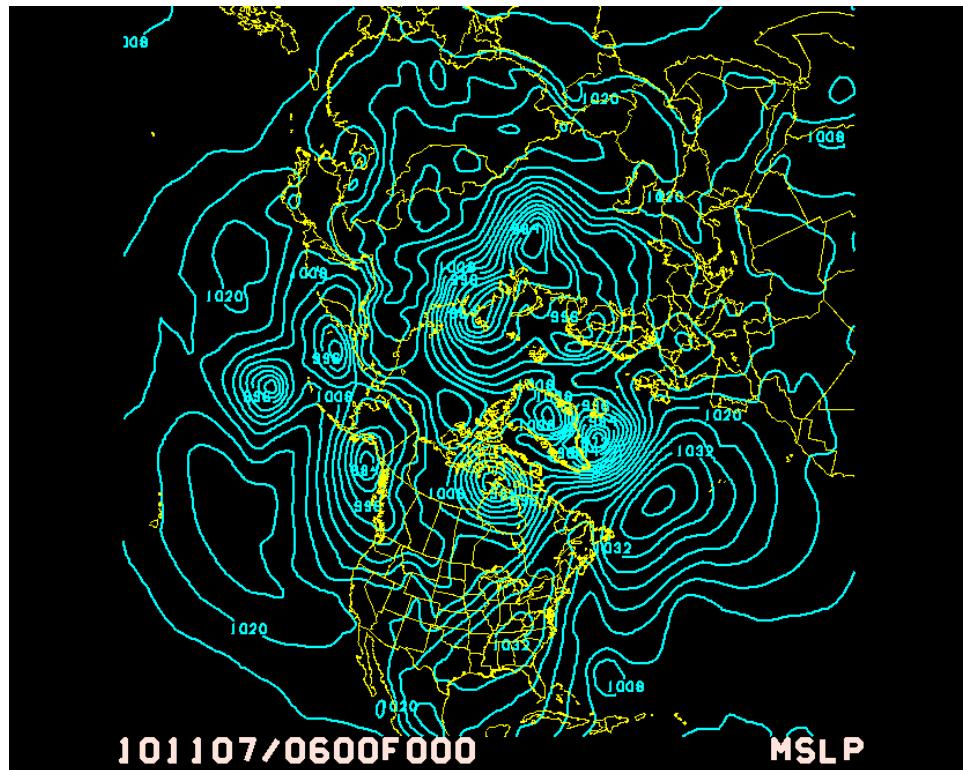
Typical values in midlatitude troposphere

$$D \simeq 10\text{km}, N \simeq 1 \times 10^{-2}\text{s}^{-1}, f_0 \simeq 1.0 \times 10^{-4}\text{s}^{-1}, \Lambda \simeq 2.5 \times 10^{-3}\text{s}^{-1}.$$

So the fastest growth rate is  $6.5 \times 10^{-6}\text{s}^{-1}$ ,  $\rightarrow e$ -folding time  $1.5 \times 10^5\text{s} \simeq 1.8$  days.

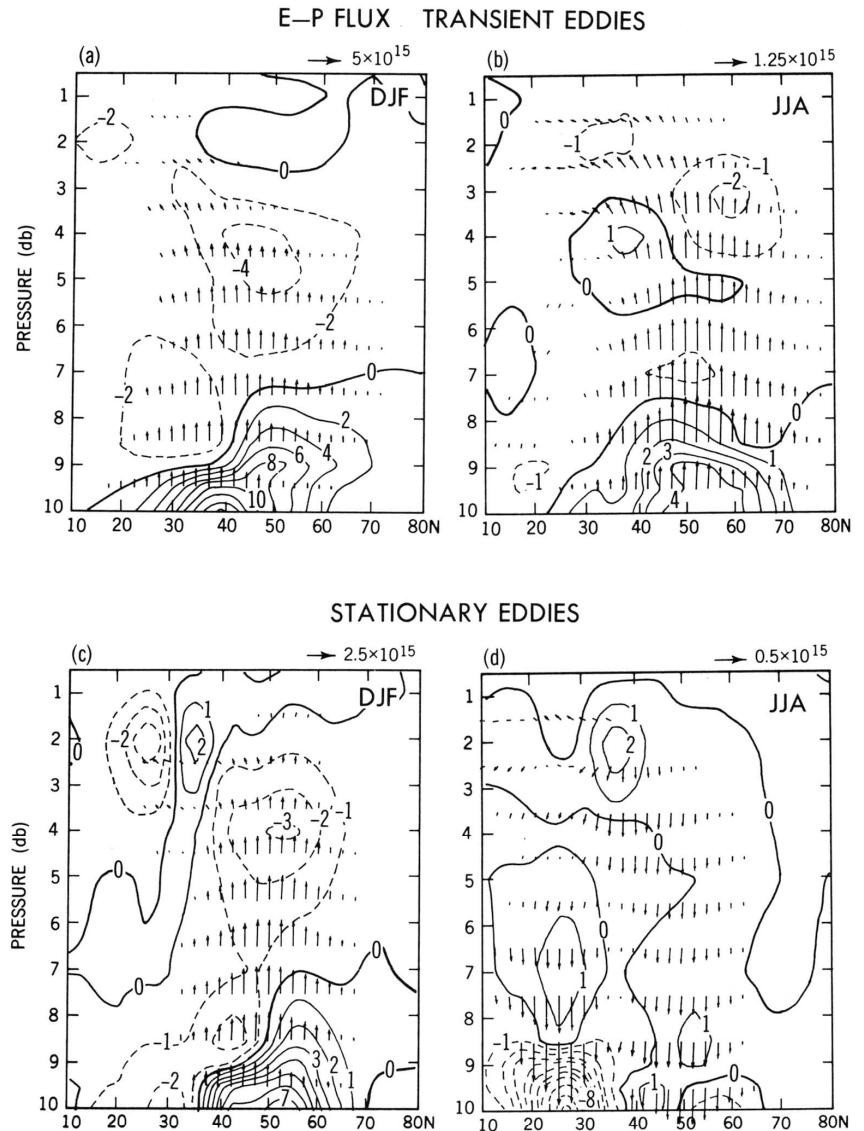
Wavenumber of the fastest growing wave is  $1.61f_0/ND \simeq 1.61 \times 10^{-6}\text{m}^{-1}$ ,

giving wavelength  $2\pi/k \simeq 3900$  km. (At  $45^\circ$ , corresponds to zonal wavenumber 7.)



(v) Synoptic eddy transport

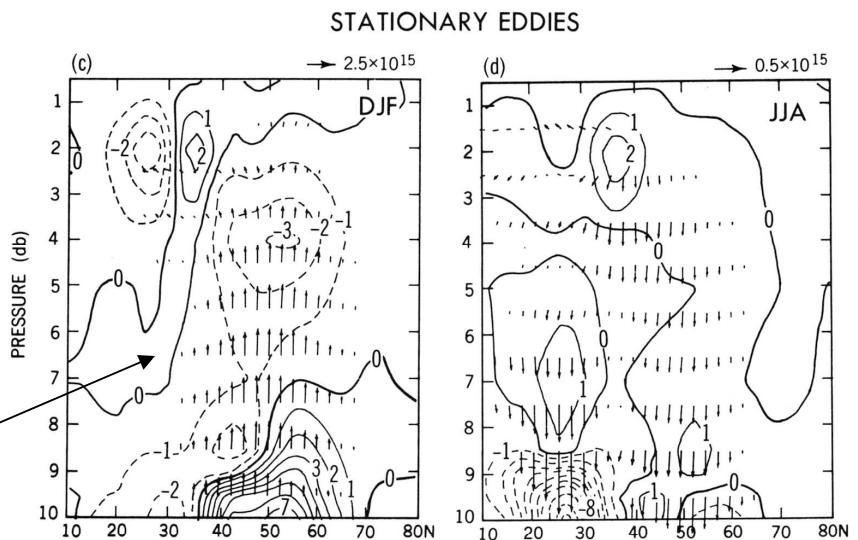
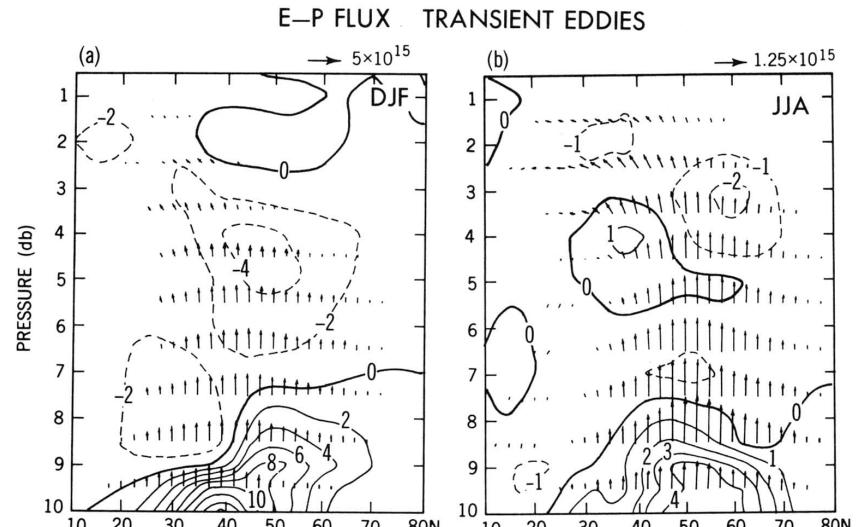
$\mathbf{F}$ ,  $\nabla \cdot \mathbf{F}$  in troposphere  
[Oort & Peixoto]



**FIGURE 14.9** Cross sections of the Eliassen-Palm flux vectores  $\hat{\mathbf{F}}$  plotted as arrows and of their divergence given by solid (positive) and dashed (negative) contours. Shown are the transient eddy (upper panel) and stationary eddy components (lower panel) of the *E-P fluxes* for mean northern winter and summer conditions for the period 1963–1973. Contour intervals are  $2 \times 10^{15} \text{ m}^3$  for the transient eddy winter case and  $1 \times 10^{15} \text{ m}^3$  for the other cases. The arrows are scaled differently in the various diagrams as indicated in the upper right-hand corner of each diagram. Each scale represents the value of the horizontal component  $\hat{F}_\phi$  in  $\text{m}^3$ . The scale for the vertical component  $\hat{F}_p$  is equal to the scale for  $\hat{F}_\phi$  but multiplied by 62.2 kPa (1kPa = 10 mb), so that  $\hat{F}_p$  is then in units of  $\text{m}^3 \text{ kPa}$ .

$\mathbf{F}$ ,  $\nabla \cdot \mathbf{F}$  in troposphere  
[Oort & Peixoto]

stationary waves in winter:  
upward propagating from  
surface and near-surface  
sources



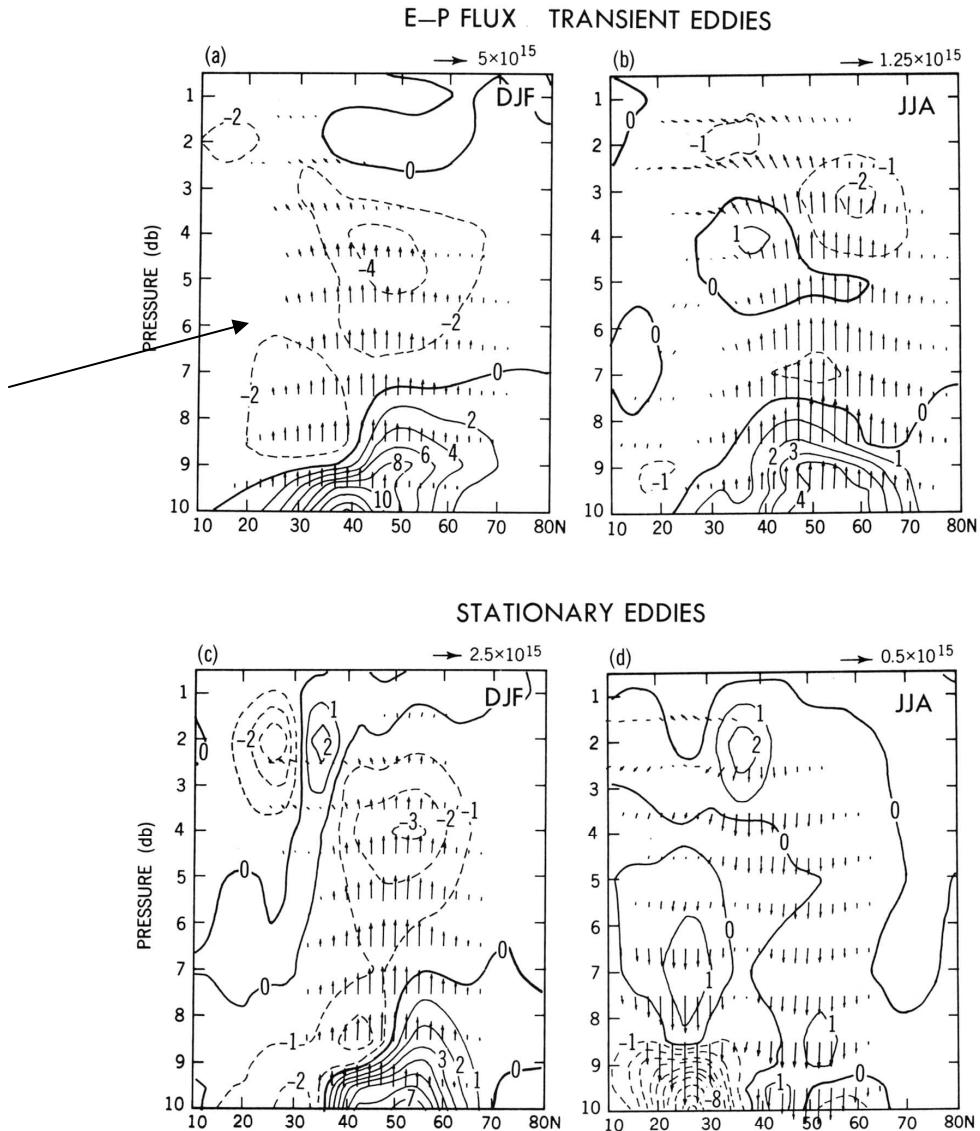
**FIGURE 14.9** Cross sections of the Eliassen-Palm flux vortices  $\hat{\mathbf{F}}$  plotted as arrows and of their divergence given by solid (positive) and dashed (negative) contours. Shown are the transient eddy (upper panel) and stationary eddy components (lower panel) of the *E-P fluxes* for mean northern winter and summer conditions for the period 1963–1973. Contour intervals are  $2 \times 10^{15} \text{ m}^3$  for the transient eddy winter case and  $1 \times 10^{15} \text{ m}^3$  for the other cases. The arrows are scaled differently in the various diagrams as indicated in the upper right-hand corner of each diagram. Each scale represents the value of the horizontal component  $\hat{F}_\phi$  in  $\text{m}^3$ . The scale for the vertical component  $\hat{F}_p$  is equal to the scale for  $\hat{F}_\phi$  but multiplied by 62.2 kPa (1kPa = 10 mb), so that  $\hat{F}_p$  is then in units of  $\text{m}^3 \text{ kPa}$ .

$\mathbf{F}$ ,  $\nabla \cdot \mathbf{F}$  in troposphere  
[Oort & Peixoto]

transient baroclinic eddies also upward propagating, because  $\overline{v' T'}$  is poleward

$$F(z) = f \frac{\overline{v' \theta'}}{\partial \theta / \partial z} > 0$$

stationary waves in winter:  
upward propagating from surface and near-surface sources



**FIGURE 14.9** Cross sections of the Eliassen-Palm flux vortices  $\hat{\mathbf{F}}$  plotted as arrows and of their divergence given by solid (positive) and dashed (negative) contours. Shown are the transient eddy (upper panel) and stationary eddy components (lower panel) of the *E-P fluxes* for mean northern winter and summer conditions for the period 1963–1973. Contour intervals are  $2 \times 10^{15} \text{ m}^3$  for the transient eddy winter case and  $1 \times 10^{15} \text{ m}^3$  for the other cases. The arrows are scaled differently in the various diagrams as indicated in the upper right-hand corner of each diagram. Each scale represents the value of the horizontal component  $\hat{F}_\phi$  in  $\text{m}^3$ . The scale for the vertical component  $\hat{F}_p$  is equal to the scale for  $\hat{F}_\phi$  but multiplied by 62.2 kPa (1kPa = 10 mb), so that  $\hat{F}_p$  is then in units of  $\text{m}^3 \text{kPa}$ .

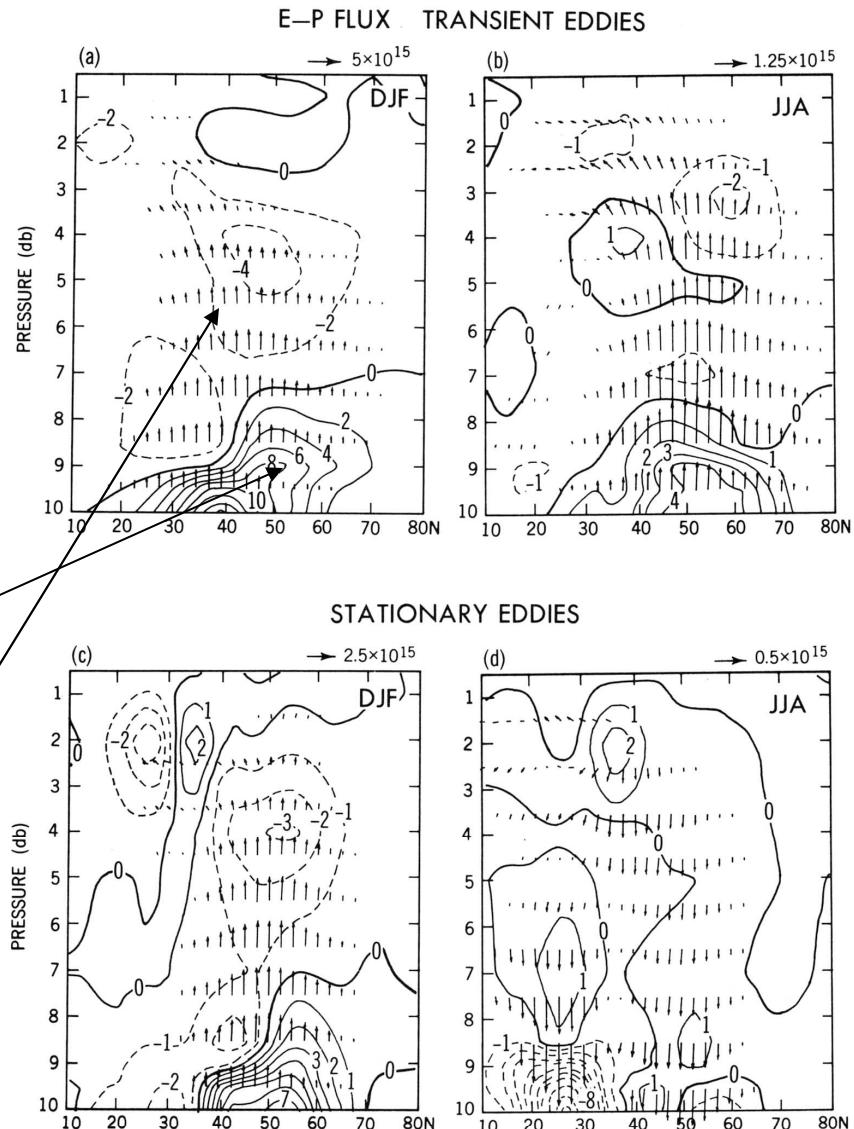
**F,  $\nabla \cdot \mathbf{F}$  in troposphere  
[Oort & Peixoto]**

transient baroclinic eddies also upward propagating, because  $\overline{v' T'}$  is poleward

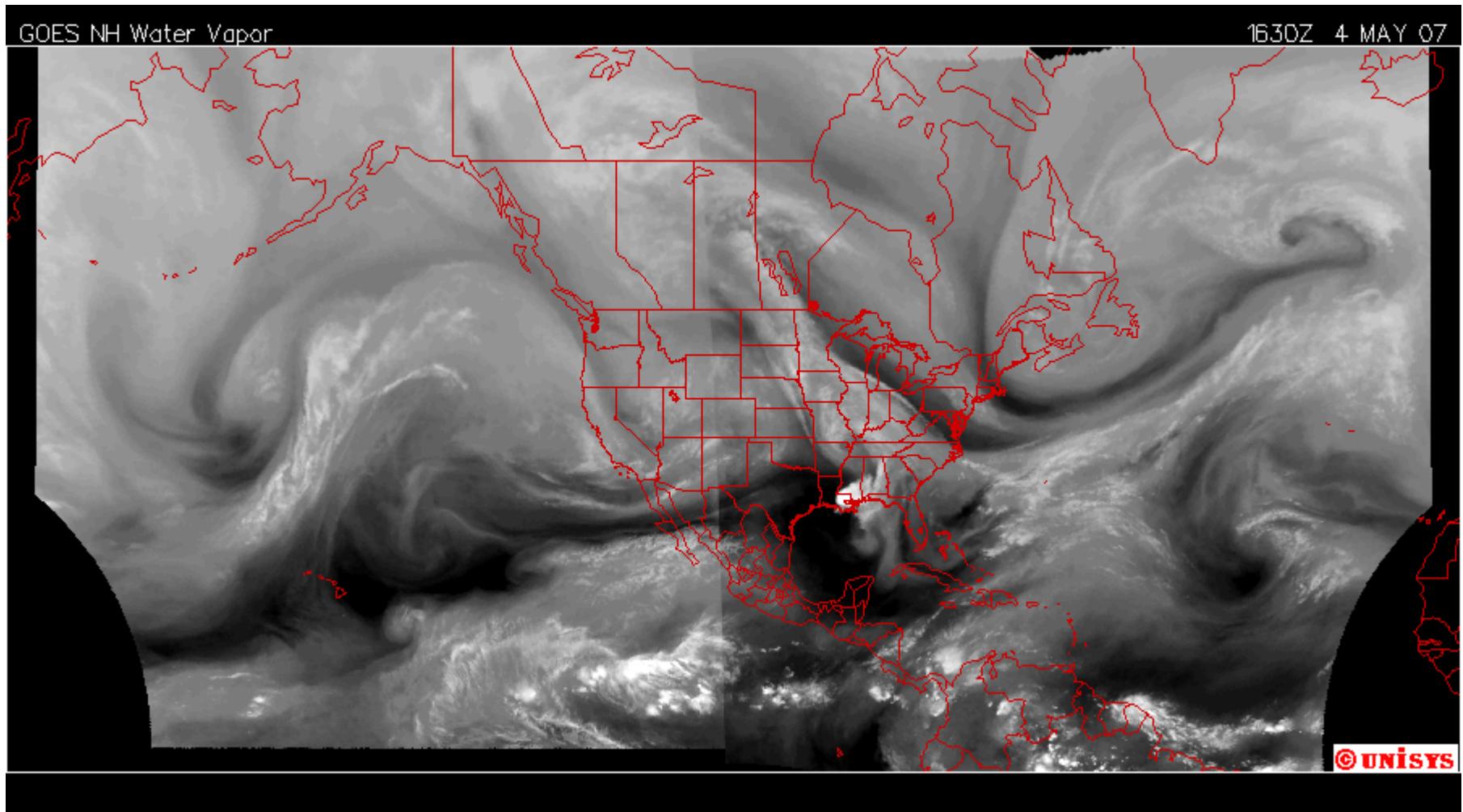
$$F(z) = f \frac{\overline{v' \theta'}}{\partial \theta / \partial z} > 0$$

**F** divergent near (and at) surface;  
generally *convergent* in middle and upper troposphere

stationary waves in winter:  
upward propagating from surface and near-surface sources



**FIGURE 14.9** Cross sections of the Eliassen-Palm flux vortices  $\hat{\mathbf{F}}$  plotted as arrows and of their divergence given by solid (positive) and dashed (negative) contours. Shown are the transient eddy (upper panel) and stationary eddy components (lower panel) of the E-P fluxes for mean northern winter and summer conditions for the period 1963–1973. Contour intervals are  $2 \times 10^{15} \text{ m}^3$  for the transient eddy winter case and  $1 \times 10^{15} \text{ m}^3$  for the other cases. The arrows are scaled differently in the various diagrams as indicated in the upper right-hand corner of each diagram. Each scale represents the value of the horizontal component  $\hat{F}_\phi$  in  $\text{m}^3$ . The scale for the vertical component  $\hat{F}_p$  is equal to the scale for  $\hat{F}_\phi$  but multiplied by 62.2 kPa (1kPa = 10 mb), so that  $\hat{F}_p$  is then in units of  $\text{m}^3 \text{ kPa}$ .



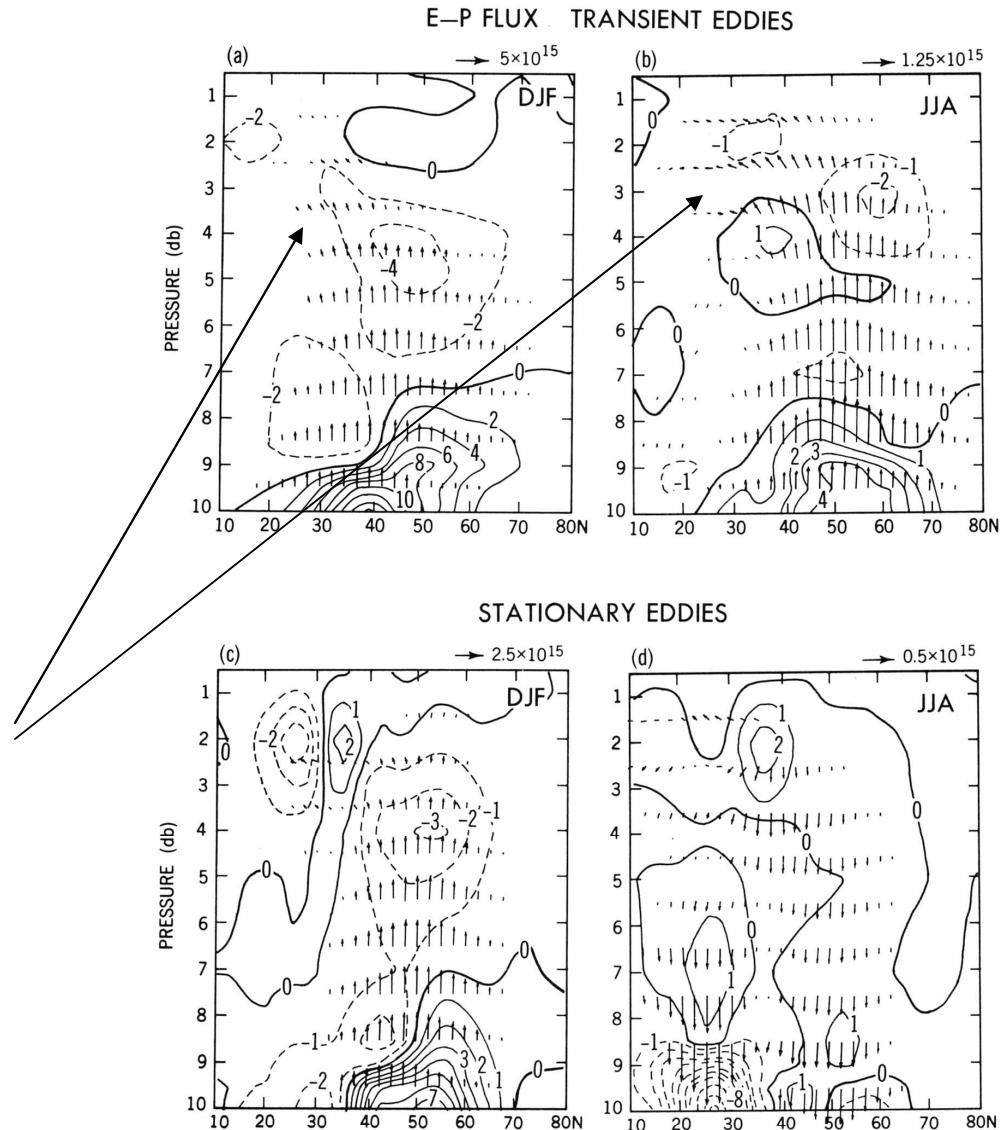
$\mathbf{F}$ ,  $\nabla \cdot \mathbf{F}$  in troposphere  
[Oort & Peixoto]

transient baroclinic eddies also upward propagating, because  $\overline{v' T'}$  is poleward

$$F(z) = f \frac{\overline{v' \theta'}}{\partial \theta / \partial z} > 0$$

note equatorward propagation in upper troposphere

stationary waves in winter:  
upward propagating from surface and near-surface sources

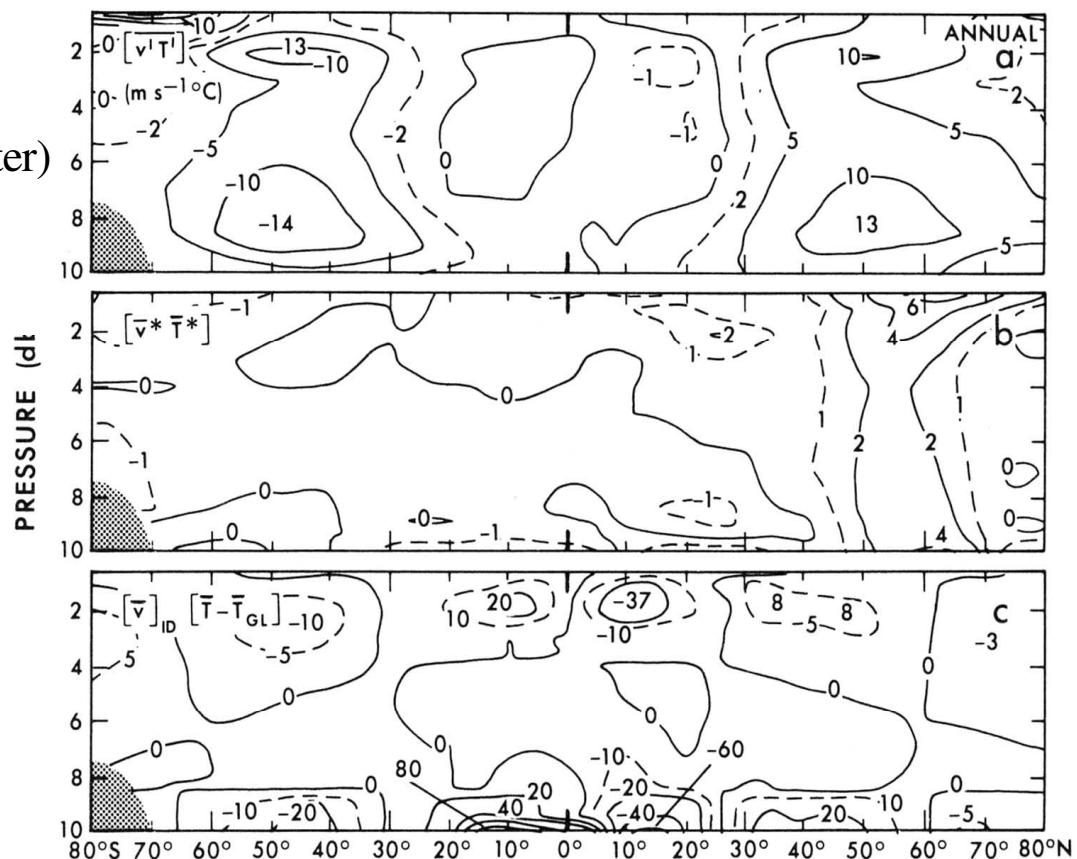


**FIGURE 14.9** Cross sections of the Eliassen-Palm flux vectores  $\hat{\mathbf{F}}$  plotted as arrows and of their divergence given by solid (positive) and dashed (negative) contours. Shown are the transient eddy (upper panel) and stationary eddy components (lower panel) of the *E-P fluxes* for mean northern winter and summer conditions for the period 1963–1973. Contour intervals are  $2 \times 10^{15} \text{ m}^3$  for the transient eddy winter case and  $1 \times 10^{15} \text{ m}^3$  for the other cases. The arrows are scaled differently in the various diagrams as indicated in the upper right-hand corner of each diagram. Each scale represents the value of the horizontal component  $\hat{F}_\phi$  in  $\text{m}^3$ . The scale for the vertical component  $\hat{F}_p$  is equal to the scale for  $\hat{F}_\phi$  but multiplied by  $62.2 \text{ kPa}$  ( $1 \text{ kPa} = 10 \text{ mb}$ ), so that  $\hat{F}_p$  is then in units of  $\text{m}^3 \text{ kPa}$ .

annual mean  $\overline{v' T'}$  :

transient eddies dominate, but stationary waves contribute in northern hemisphere (especially winter)

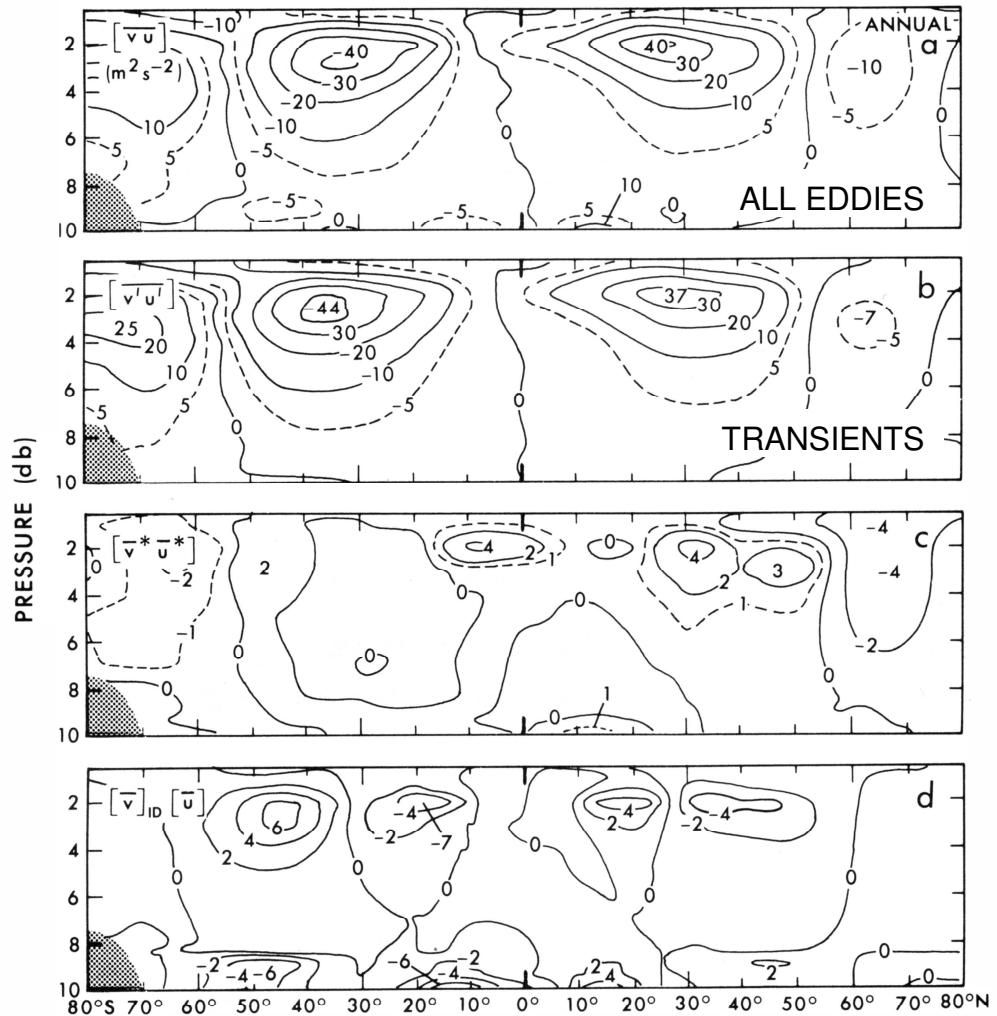
[Oort& Peixoto]



**FIGURE 13.5.** Zonal-mean cross sections of the northward transport of sensible heat by transient eddies (a), stationary eddies (b), and mean meridional circulations (c) in  $\text{°C m s}^{-1}$  (from Oort and Peixoto, 1983).

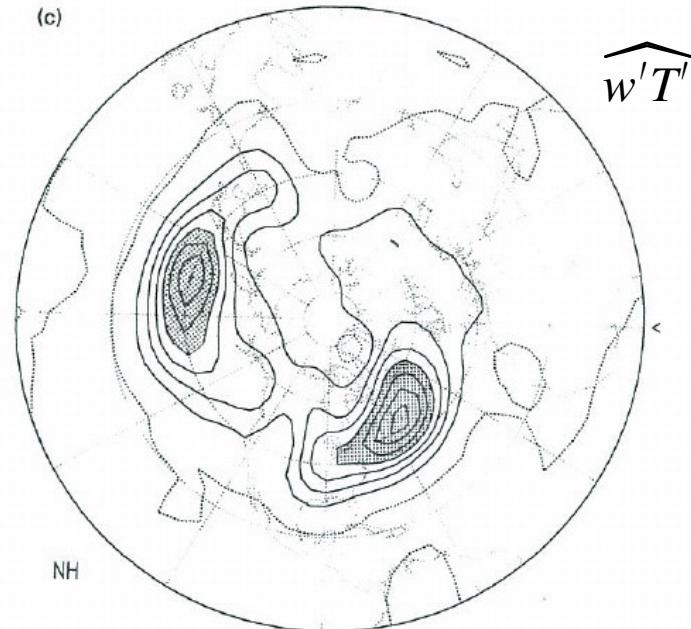
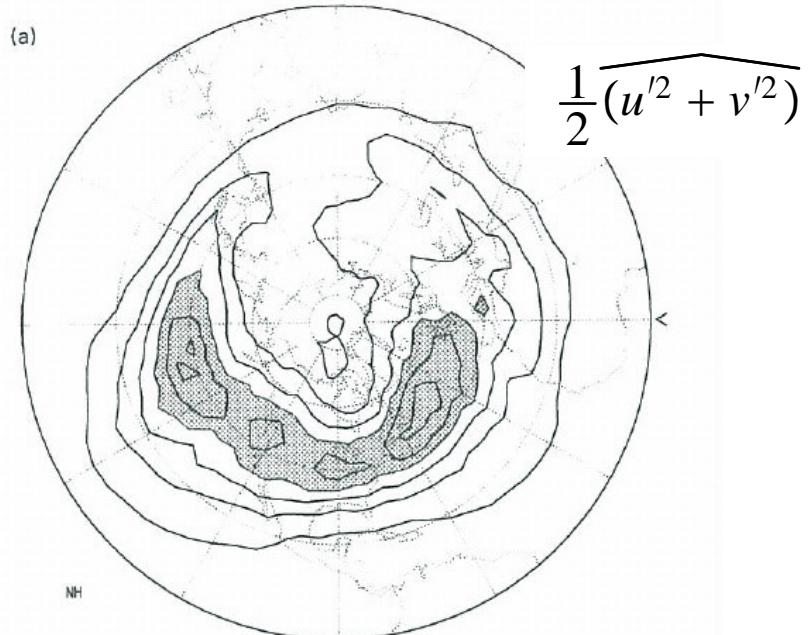
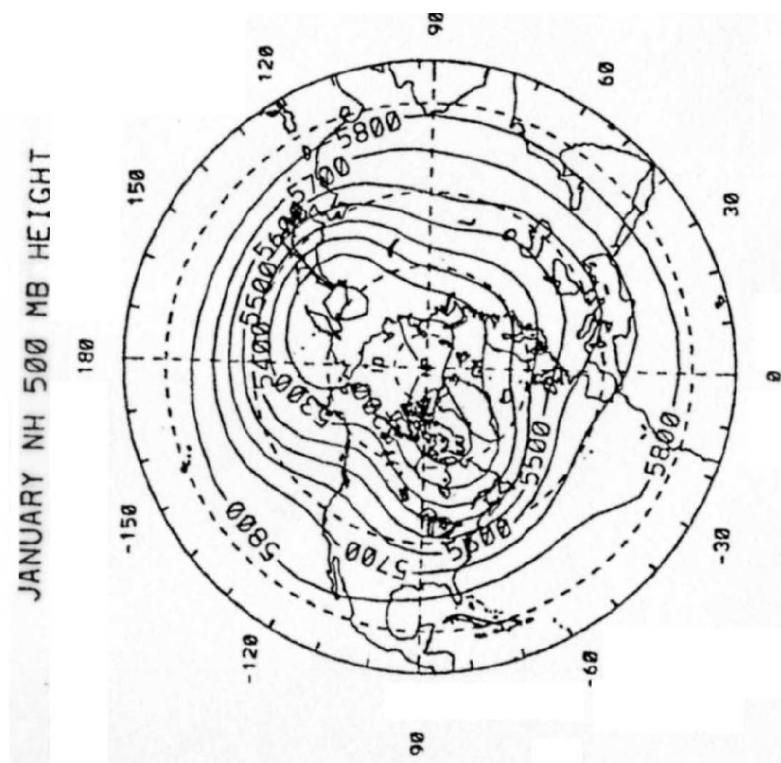
annual mean  $\overline{u'v'}$  :  
transient eddies dominate

[Oort& Peixoto]

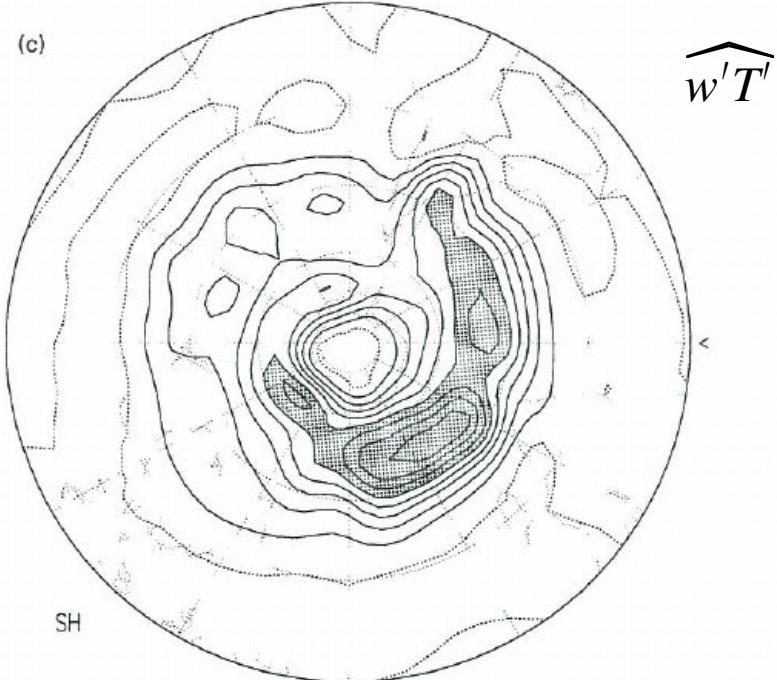
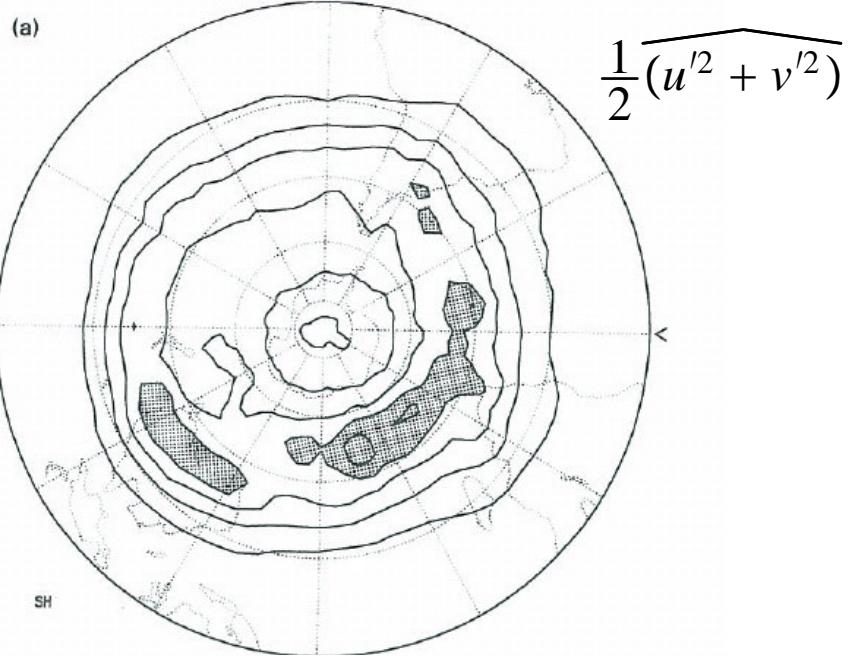


**FIGURE 11.7.** Zonal-mean cross sections of the northward flux of momentum by all motions (a), transient eddies (b), stationary eddies (c), and mean meridional circulations (d) in  $\text{m}^2 \text{ s}^{-2}$  for annual-mean conditions (from Oort and Peixoto, 1983).

## Storm tracks – northern hemisphere

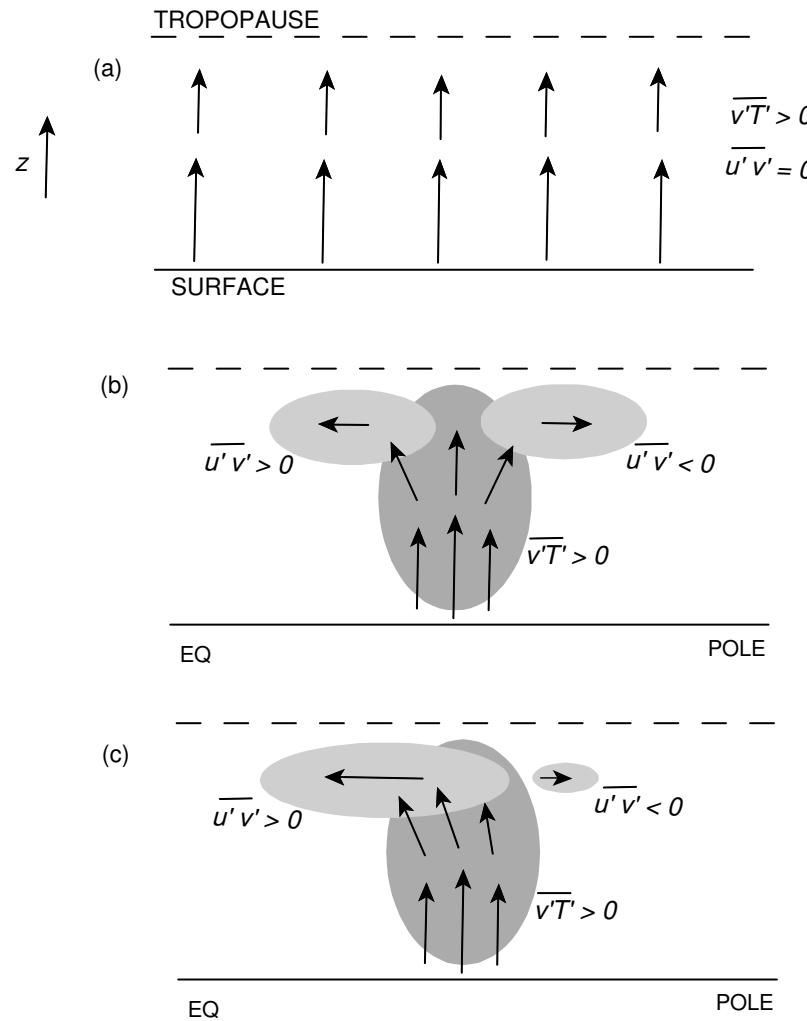


## Storm tracks – southern hemisphere



EP, heat and momentum fluxes  
(arrows show  $\mathbf{F}$ )

$$F^{(y)} = -\rho \overline{u'v'} ; \quad F^{(z)} = \rho f \frac{\overline{v'\theta'}}{\partial \bar{\theta} / \partial z}$$



homogeneous case  $\overline{u'v'} = 0$

localized baroclinic zone on  $\beta$ -plane:  
wave activity spreads out symmetrically;  
 $\overline{u'v'} \neq 0$

localized baroclinic zone on the sphere:  
wave activity spreads out asymmetrically;  
 $\overline{u'v'}$  predominantly poleward

## Maintenance of surface westerlies

column-integrated momentum budget:

$$-f\bar{v} = -\frac{\partial}{\partial y} \overline{u'v'} - \frac{1}{\rho} \frac{\partial \tau}{\partial z}$$

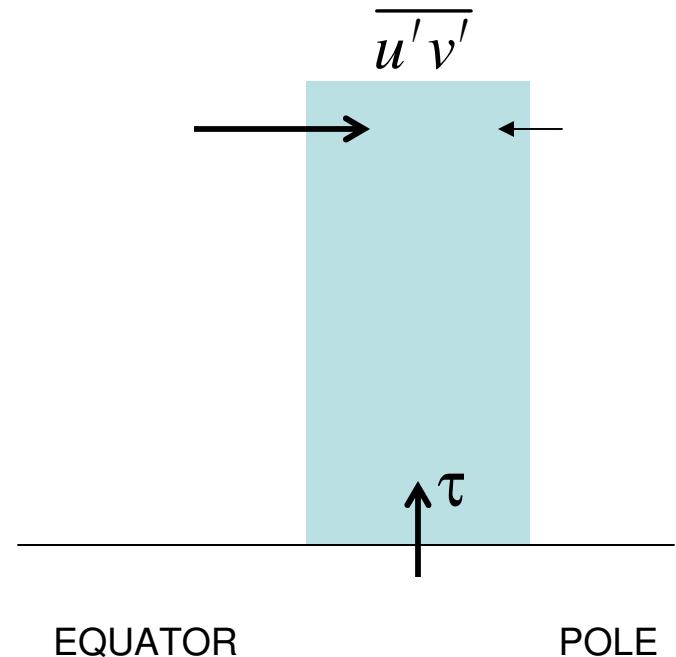
$$\rightarrow -f \int_0^\infty \rho \bar{v} dz = -\frac{\partial}{\partial y} \int_0^\infty \rho \overline{u'v'} dz + \tau_0$$

but  $-f \int_0^\infty \rho \bar{v} dz = 0$

$$\rightarrow \tau_0 = \frac{\partial}{\partial y} \int_0^\infty \rho \overline{u'v'} dz$$

$$\tau_0 = -\frac{u_0}{\tau_{drag}}$$

$$\rightarrow u_0 = -\tau_{drag} \frac{\partial}{\partial y} \int_0^\infty \rho \overline{u'v'} dz$$



surface westerlies in middle latitudes (where momentum flux is convergent)

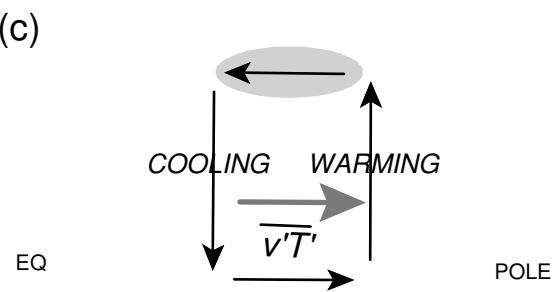
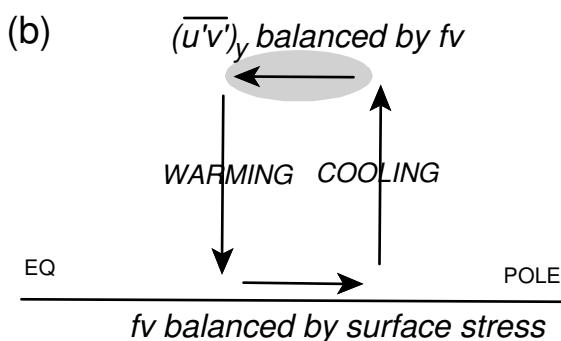
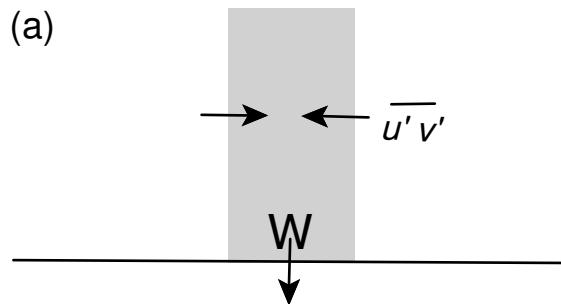
locally,

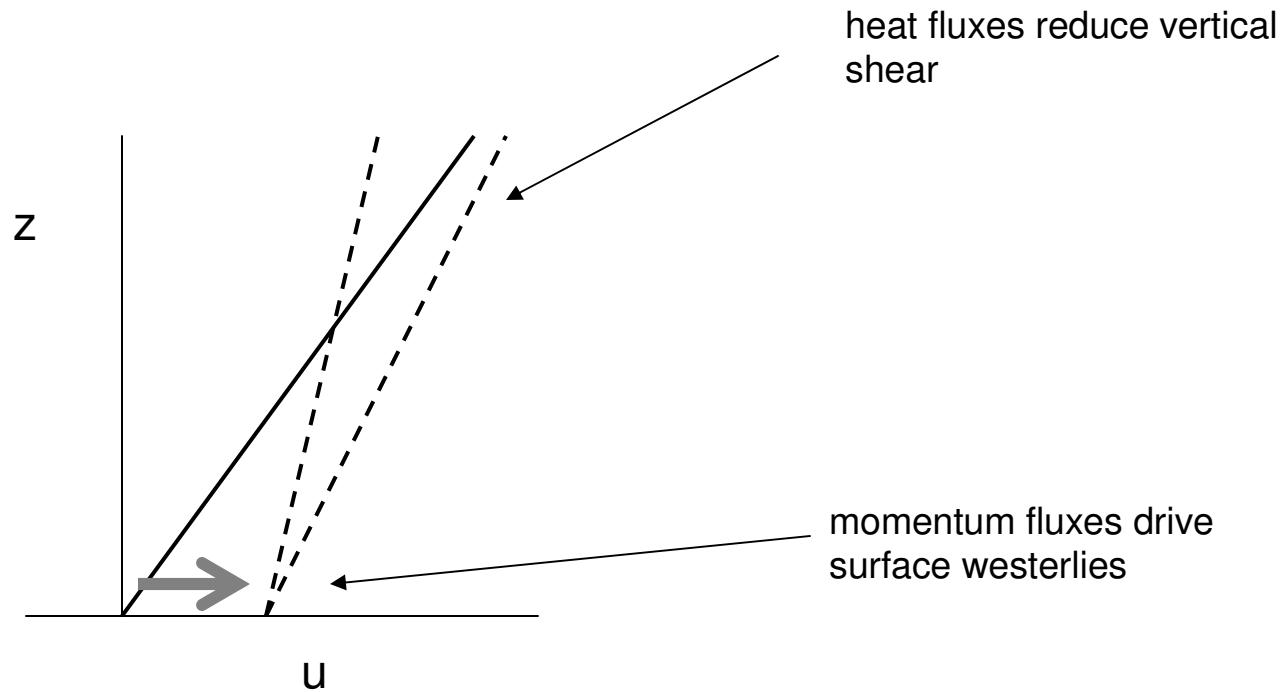
$$\bar{v} = \frac{1}{f} \frac{\partial}{\partial y} (\overline{u'v'})$$

→ Ferrel cell

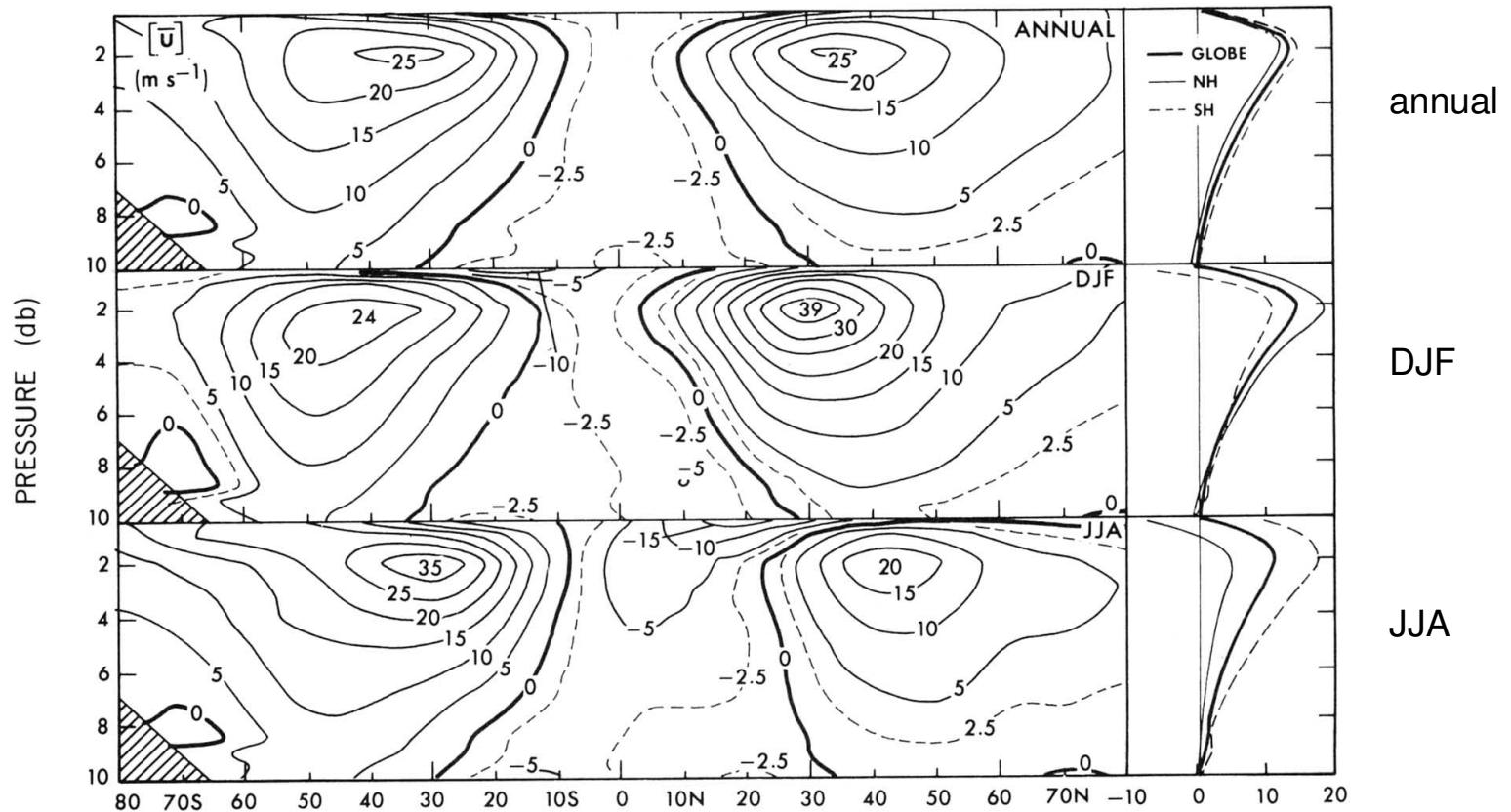
heat transport by Ferrel cell opposes  
(but does not overcome) effects of  
eddy heat flux

→ net poleward heat transport





Whether eddies enhance or reduce upper tropospheric westerlies depends on external factors, such as ratio of thermal relaxation rate to surface drag coefficient [Robinson, *J Atmos Sci*, 1991]

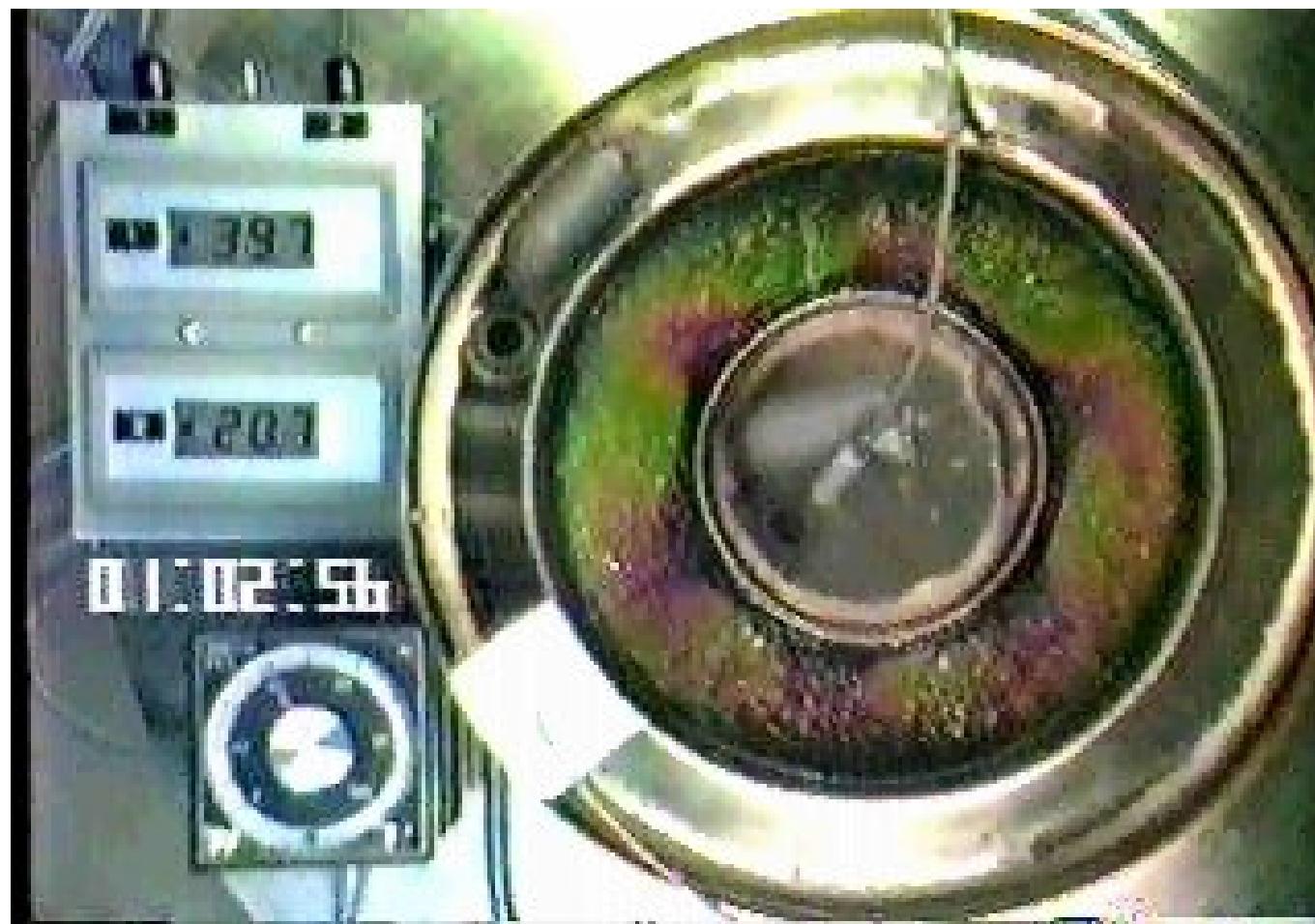


**FIGURE 7.15.** Zonal-mean cross sections of the zonal wind component in  $\text{m s}^{-1}$  for annual, DJF, and JJA mean conditions. Vertical profiles of the hemispheric and global mean values are shown on the right.

(vi) Variability: Annular modes

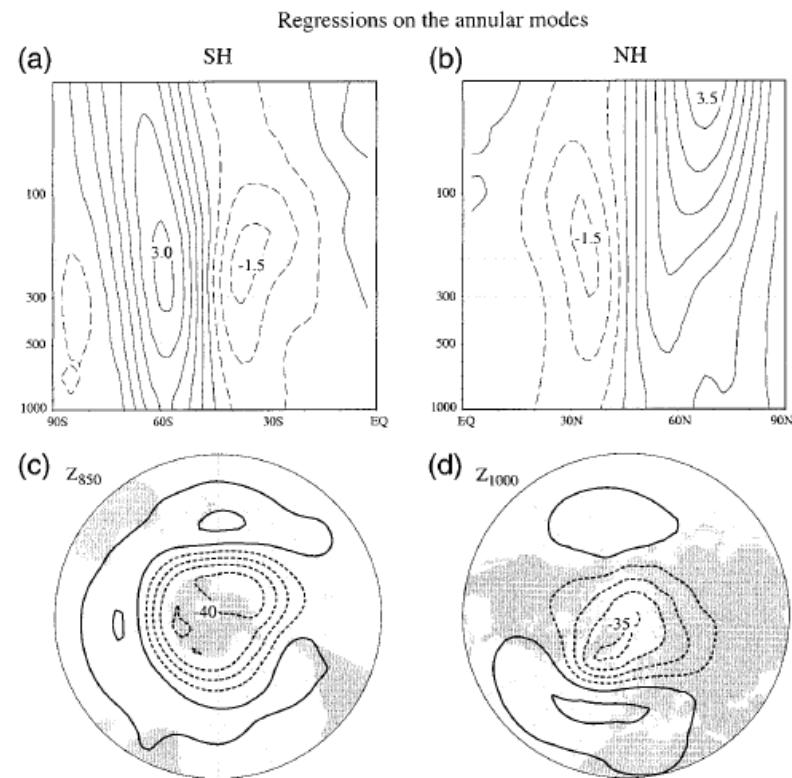
Atmosphere and Ocean  
in a Laboratory

実験室の中の空と海



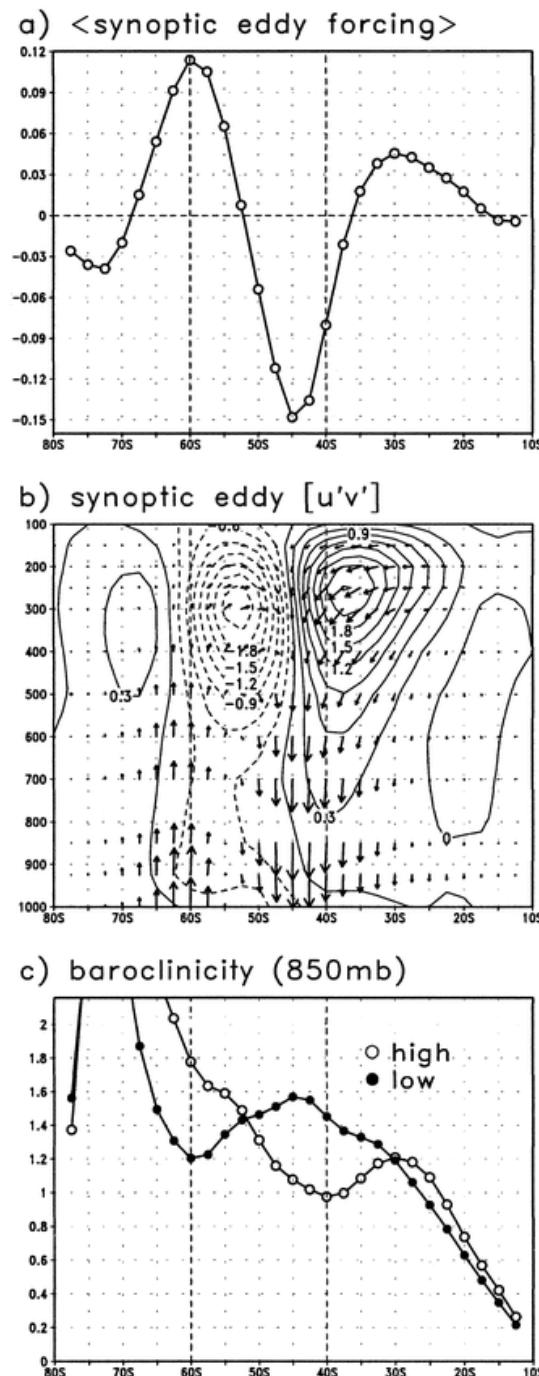
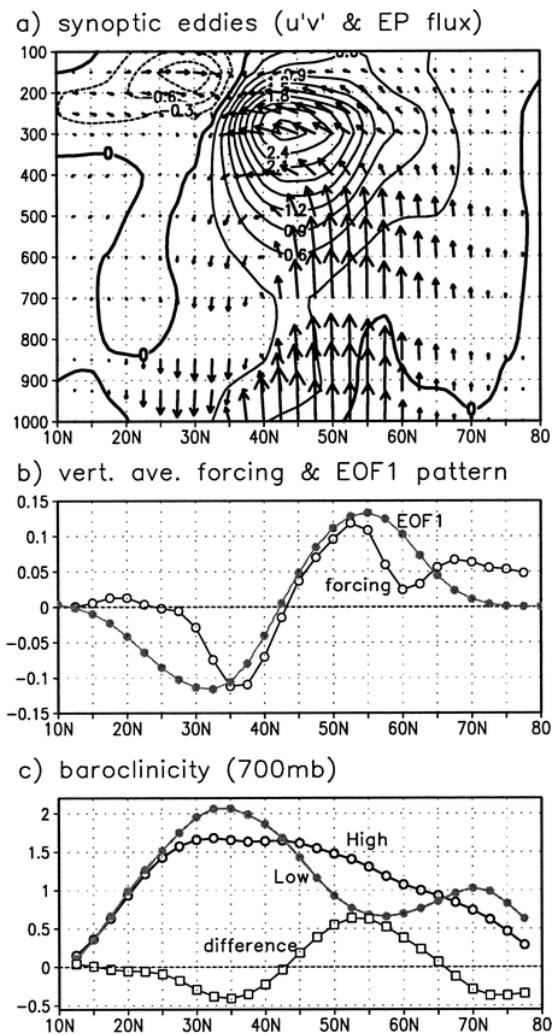
# Annular Modes

- Leading patterns of variability in extratropics of each hemisphere
- Strongest in winter but visible year-round in troposphere; present in “active seasons” in stratosphere



[Thompson and Wallace, 2000]

**Lorenz & Hartmann**  
*J. Atmos. Sci (2001); J. Clim (2003)*



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